Cognitive therapy for the prevention of psychosis in people at ultra-high risk

Randomised controlled trial

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Background Advances in the ability to identify people at high risk of developing psychosis have generated interest in the possibility of preventing psychosis.

Aims To evaluate the efficacy of cognitive therapy for the prevention of transition to psychosis.

Method A randomised controlled trial compared cognitive therapy with treatment as usual in 58 patients at ultra-high risk of developing a first episode of psychosis. Therapy was provided over 6 months, and all patients were monitored on a monthly basis for 12 months.

Results Logistic regression demonstrated that cognitive therapy significantly reduced the likelihood of making progression to psychosis as defined on the Positive and Negative Syndrome Scale over 12 months. In addition, it significantly reduced the likelihood of being prescribed antipsychotic medication and of meeting criteria for a DSM–IV diagnosis of a psychotic disorder. Analysis of covariance showed that the intervention also significantly improved positive symptoms of psychosis in this population over the 12-month period.

Conclusions Cognitive therapy appears to be an acceptable and efficacious intervention for people at high risk of developing psychosis.

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Early intervention in psychotic disorders has recently generated much interest, and a small number of studies have examined the possibility of detecting individuals in the prodromal stage, prior to the development of full psychosis. Yung et al. (1996) have pioneered the prodromal approach to prevention in their Personal Assessment and Crisis Evaluation (PACE) clinic, and have developed operational criteria to identify four subgroups at ultra-high risk of incipient psychosis: 40% of their high-risk sample developed psychosis over a 9-month period (Yung et al., 1996). The identification of risk factors that yield such a high-risk group suggests the possibility of using preventive interventions. A trial by McGorry et al. (2002) showed that specific pharmacotherapy and psychotherapy reduced the risk of early transition to psychosis in young people at ultra-high risk, in comparison with supportive therapy and case management, finding a reduction in progression to psychosis at end of treatment, although not at follow-up. However, the relative contribution of psychotherapy could not be determined since theirs was a combined treatment. They concluded that their findings demonstrated a delay in onset (i.e. a reduction in incidence). We aimed to determine whether psychological intervention could prevent transition to psychosis in help-seeking individuals at operationally defined high risk. We predicted that cognitive therapy would significantly reduce the transition rate, in comparison with treatment as usual. Secondary hypotheses were that cognitive therapy would significantly reduce the proportion of patients who needed to be prescribed antipsychotic medication, reduce the likelihood of meeting criteria for a DSM–IV (American Psychiatric Association, 1994) diagnosis of a psychotic disorder and reduce the severity of the presenting subclinical symptoms.

METHOD

Participants Recruitment of participants was sought from a variety of sources, including primary care teams (general practitioners, practice nurses and psychological therapists), student counselling services, accident and emergency departments, specialist services (e.g. community drug and alcohol teams, child and adolescent psychiatry and adult psychiatry services) and voluntary sector agencies (such as carers’ organisations). In order to facilitate the referral process, a number of workshops were held for all of these organisations, and regular written reminders were provided. Individuals who met our criteria (based on the PACE criteria) were deemed to be at incipient risk of psychosis and were included in the study. Thirty-seven patients were randomised to receive cognitive therapy and 23 patients to monitoring.

Entry criteria Specific state risk factors were operationally defined by the presence of either transient psychotic symptoms (termed ‘brief limited intermittent psychotic symptoms’ or BLIPS) or attenuated (subclinical) psychotic symptoms, both of which were defined using an adaptation of the PACE duration and severity criteria (Yung et al., 1996), based on the Positive and Negative Syndrome Scale (PANSS; Kay & Opler, 1987) cut-off scores. Transient symptoms are those that score 4 or more on hallucinations, 4 or more on delusions or 5 or more on conceptual disorganisation, last less than 1 week and resolve without antipsychotic medication. Attenuated symptoms are those that score 3 on delusions, 2–3 on hallucinations, 3–4 on suspiciousness or 3–4 on conceptual disorganisation. Examination of the PANSS and the Brief Psychiatric Rating Scale (BPRS; Ventura et al., 2000) will confirm that these criteria are analogous to the PACE criteria.

Trait plus state risk factors are operationally defined by the presence of an at-risk mental state – defined for the purposes of this study as scoring for caseness on the General Health Questionnaire (GHQ; Goldberg & Hillier, 1979) and/or a recent deterioration in function of 30 points or more on the Global Assessment of Functioning (GAF; American Psychiatric Association, 1994) – plus either a family history, indicated by a first-degree relative with a
history of any psychotic disorder, or a diag-
nosis of schizotypal personality disorder in
the participant. This is analogous to the
PACE criteria for their trait plus state risk
group.

Potential participants below the age of
16 years or above the age of 36 years were
considered to be outside the maximum risk
period for psychosis and were excluded
from the study. Current or past receipt of
antipsychotic medication was an exclusion
criterion.

**Measures**

The following measures were used to
assess suitability for inclusion in the study
and monitor outcomes. The PANSS is a
clinician-administered, 30-item semi-
structured interview consisting of 7 items
assessing positive symptoms (e.g. hallucina-
tions, delusions, conceptual disorganisa-
tion), 7 items assessing negative symptoms
(e.g. blunted affect, passive/apathetic social
avoidance) and 16 items assessing global
psychopathology (e.g. depression, anxiety,
lack of insight, guilt). All items are scored
between 1 (not present) and 7 (severe). A
number of studies have demonstrated the
reliability and validity of this scale (Kay et
al., 1988), which was used to assess both
transient and attenuated symptoms, and
was the primary outcome measure used for
determining transition to psychosis. The
Structured Clinical Interview for DSM–IV
(SCID; American Psychiatric Association,
1994) was used to assess the presence of
schizotypal personality disorder (only the
relevant subsection was administered).
The 28-item version of the General Health
Questionnaire was used to assess general
at-risk mental state, using a cut-off score of
5 or more to define psychiatric caseness.
The Global Assessment of Functioning is a
simple, 100-point measure of psycho-
logical, social and occupational ability
designed to be concordant with DSM–IV,
and was used to assess functioning.
Additional psychological measures were admi-
istered at this baseline assessment and at
monthly monitoring sessions in order to
assess cognitive, personality and social
factors, but these are not reported here.

The primary outcome measure was the
rate of transition to psychosis, which was
operationally defined based on the PACE
criteria, using cut-off points on PANSS
sub-scales (4 or more on hallucinations, 4
or more on delusions and 5 or more on con-
tceptual disorganisation), the frequency of
symptoms (at least several times a week)
and their duration (more than 1 week).
Secondary outcomes assumed to also repre-
sent transition to psychosis were:

(a) the prescription of antipsychotic med-
cication from an independent medical
practitioner;

(b) probable DSM–IV diagnosis from a
consultant psychiatrist masked to treat-
ment status (S.W.L.), rated using vig-
ettes that were prepared from case
notes and assessment records by the
assessors.

These were considered to be valuable
additional outcome measures, since some
patients will not report psychotic expe-
riences in an interview, but may be viewed
as having psychosis by a clinician on the
basis of behavioural indices. Scores on the
PANSS over the 12 months were also ana-
lysed as a dimensional outcome measure
of symptomatology.

**Study design and intervention**

The Early Detection and Intervention
Evaluation (EDIE) trial was designed as a
pragmatic, single-masked (rater), random-
is ed controlled trial. Assessors were in-
tended to be masked to the condition to
which the patient was allocated; however,
this proved difficult in practice because
the participants often divulged information
about their therapist, or used language that
suggested they were receiving cognitive
therapy. The only other treatment study
reported with this population found similar
difficulty in maintaining masking
(McGorry et al., 2002), and this is a com-
mon difficulty in psychological intervention
trials. Random assignment to the two con-
tditions (monitoring only or cognitive ther-
apy plus monitoring) was stratified by
gender and genetic risk (whether the parti-
cipant had a first-degree relative with a psy-
chotic diagnosis), as these are known to be
risk factors within the specified age range.
A clerical worker who was independent of
the study removed, at random, a slip detail-
ing assignment from the appropriate one of
four envelopes (male, family history; male
no family history; female, family history;
female, no family history) each of which
had 25 therapy and 25 monitoring assign-
ments. The sequence of randomisation
was concealed until treatment had been
allocated; the two groups were of unequal
number by chance.

Recruitment and randomisation of
participants occurred between 1 December
1999 and 1 April 2002. The randomised
participants were monitored at monthly
intervals (using the PANSS) for a period
of 12 months following initial assessment
(therefore monitoring alone consisted of
13 sessions, and was not intended as an
attention control or placebo condition).
Assessments were conducted by research
assistants (L.W., A.K., J.G. and S.P.), and
good interrater reliability was established
using videotaped interviews.

The local research ethics committees of
Salford and Trafford and North, South and
Central Manchester (UK) approved the
study. Potential participants who gave
informed consent following the receipt of
a detailed participant information sheet
were assessed using the above measures in
relation to the entry criteria. If they met
these criteria, they were then given the
other self-report indicators of risk.

The cognitive therapy intervention was
limited to a maximum of 26 sessions over
6 months and followed the principles devel-
oped by Beck (1976). It was problem-
oriented, time-limited and educational; it
encouraged collaborative empiricism, used
guided discovery and homework tasks,
and was based on a written manual. It
was based on the cognitive model most
appropriate to the disorder that was priori-
tised on a problem list agreed between the
therapist and the patient. Therefore, if a
transient or an attenuated psychotic symp-
tom was prioritised, the case conceptualisa-
tions (and subsequent treatment strategies)
were based on Morrison’s recent integrative
model of hallucinations and delusions
(Morrison, 2001). This model emphasises
the culturally unacceptable interpretations
that people with psychosis make for events,
in addition to their responses to such events
and their beliefs about themselves, other
people and control strategies. The central
feature of our approach to the prevention
of psychosis involved normalising the inter-
pretations that people make, helping them
to generate and evaluate alternative expla-
nations, decatastrophising their fears of
impending madness and helping them test
out such appraisals using behavioural
experiments. However, if the problem
prioritised was an anxiety disorder (such
as panic, social phobia, obsessive-compulsive
disorder or generalised anxiety) or depres-
sion, then the appropriate models were em-
ployed (Beck et al., 1979; Clark, 1986;
Clark & Wells, 1995; Wells, 1995;
Salkovskis et al, 1998) and a general model
of emotional dysfunction was also used.
Wells & Matthews, 1994). As these models have many cognitive, affective and behavioural processes and products in common, this helped to aid generalisation across problems and was extremely useful for patients with several presenting problems. A more detailed analysis of the treatment strategies can be found in our treatment manual (French & Morrison, 2004) and a case series with high-risk patients from this study is described elsewhere (French et al, 2003). All treatment and clinical supervision were provided by experienced cognitive therapists (P.F. and A.P.M.), with the exception of one case in which the patient was seen by a trainee clinical psychologist because of a gender preference.

Both monitoring and therapy conditions incorporated elements of case management in order to resolve crises regarding social issues and mental health risks. If a participant developed a full psychosis, urgent referral to a specialist clinical team outside the trial was effected and a record made of the treatment given. Medication was not prescribed as part of the trial protocol.

### Statistical analysis

The Statistical Package for the Social Sciences (SPSS for Windows, version 10.1) was used for all statistical analysis. Comparison of the two groups was by intention to treat (with the exception of the two individuals who subsequently reported exclusion criteria). Missing data were recorded as missing, with the exception of transition status, which was conservatively assumed to be ‘no transition’ if this information was not obtainable. Most patients missed at least one monthly monitoring appointment. The median number of assessments attended was 7 for the monitoring group (interquartile range (IQR) 6) and 8 (IQR=7) for the therapy group. Some PANSS interviews were conducted over the telephone (a total of 21), which led to missing data for observational items on the negative and general sub-scales of the PANSS. The median number of telephone assessments was 0 (IQR=2, range=3) for the monitoring group and 0 (IQR=0, range=2) for cognitive therapy. If data were unavailable at a particular assessment occasion, then it was conservatively assumed (for both groups) that PANSS-defined transition had not occurred (medication details were obtained from medical records).

Logistic regression analyses were used to compare occurrence of transition to psychosis between the two groups while controlling for the effects of potential confounding variables (age, gender, family history of psychosis and initial PANSS positive scores); ‘number needed to treat’ statistics are also reported. Analysis of covariance was used to examine the effects of cognitive therapy on positive psychotic phenomena, since the mean and initial PANSS scores were shown to be normally distributed on the basis of visual inspection and consideration of skewness and kurtosis. Multiple regression analyses were performed on 12-month GHQ and GAF scores.

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**RESULTS**

**Participant flow and study sample**

A total of 60 participants were randomised, 37 to the cognitive therapy group and 23 to the monitoring group (Fig. 1). Two patients were excluded from further analyses because, at the first post-randomisation assessment, they were assessed as meeting PANSS criteria for psychosis and also reported having concealed psychotic symptoms during their initial assessment (Morrison et al., 2002). All other participants were questioned about this possibility, but none of them reported such psychosis at baseline. More than two-thirds of the final sample were men (n=40) and the mean age at entry was 22 years (s.d.=4.5, range 16–36). The

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![Flow diagram](image.png)  

**Fig. 1** Flow diagram for progress through phases of the Early Detection and Intervention Evaluation (EDIE) trial.

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routes into the study were as follows: 48 participants had attenuated psychotic symptoms, 6 transient psychotic symptoms and 4 were included on the basis of a family history and recent deterioration. The median number of sessions attended by participants allocated to cognitive therapy was 11 (IQR = 13). The characteristics of the two groups at first assessment are presented in Table 1.

### Table 1  Participant characteristics at baseline assessment

<table>
<thead>
<tr>
<th>Variable</th>
<th>Therapy group (n=35)</th>
<th>Monitoring group (n=23)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age, years: median (IQR)</td>
<td>20.6 (4.9)</td>
<td>21.5 (5.2)</td>
</tr>
<tr>
<td>PANSS score: mean (s.d.)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total score</td>
<td>61.2 (12.2)</td>
<td>57.5 (7.6)</td>
</tr>
<tr>
<td>Positive sub-scale</td>
<td>15.6 (3.5)</td>
<td>13.8 (2.7)</td>
</tr>
<tr>
<td>Negative sub-scale</td>
<td>14.1 (4.9)</td>
<td>12.0 (4.1)</td>
</tr>
<tr>
<td>General sub-scale</td>
<td>31.4 (6.7)</td>
<td>31.7 (4.2)</td>
</tr>
<tr>
<td>GHQ–28 score: mean (s.d.)</td>
<td>14.0 (8.2)</td>
<td>15.0 (8.0)</td>
</tr>
<tr>
<td>GAF score: mean (s.d.)</td>
<td>49.4 (11.4)</td>
<td>47.9 (13.3)</td>
</tr>
<tr>
<td>Gender ratio (M:F)</td>
<td>21:14</td>
<td>19:4</td>
</tr>
<tr>
<td>GHQ caseness: yes:no</td>
<td>30:5</td>
<td>19:3</td>
</tr>
<tr>
<td>Family history, n</td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td>Occupation, n</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Unemployed</td>
<td>17</td>
<td>8</td>
</tr>
<tr>
<td>Student</td>
<td>10</td>
<td>3</td>
</tr>
<tr>
<td>Manual</td>
<td>3</td>
<td>4</td>
</tr>
<tr>
<td>Professional</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Other</td>
<td>5</td>
<td>4</td>
</tr>
</tbody>
</table>

GAF, Global Assessment of Functioning; GHQ–28, 28-item General Health Questionnaire; IQR, interquartile range; M,F, male-female; PANSS, Positive and Negative Syndrome Scale.

Missing data for caseness and occupation because of incomplete assessments.

### Table 2  Outcome measures

<table>
<thead>
<tr>
<th>Treatment group</th>
<th>PANSS transition n (%)</th>
<th>Antipsychotic medication n (%)</th>
<th>DSM–IV psychosis diagnosis n (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cognitive therapy</td>
<td>2 (6)</td>
<td>2 (6)</td>
<td>2 (6)</td>
</tr>
<tr>
<td>Monitoring</td>
<td>5 (22)</td>
<td>7 (30)</td>
<td>6 (26)</td>
</tr>
</tbody>
</table>

PANSS, Positive and Negative Syndrome Scale.

Outcome measures

The proportion of patients making PANSS-defined transition to psychosis, receiving antipsychotic medication from an independent clinician and being rated as meeting criteria for a DSM–IV psychotic disorder are shown in Table 2, and details regarding entry route, age, gender, probable diagnosis and treatment status of the relevant participants are shown in Table 3.

### Predictors of transition

All logistic regression analyses used gender and family history of psychosis as predictor variables, since randomisation was stratified using these. These analyses also used baseline PANSS positive sub-scale scores (since the groups differed at baseline) and age as predictor variables (as continuous variables). Treatment group was represented as a dichotomous variable in these analyses.

The primary logistic regression analysis was conducted using PANSS-defined transition as the dependent variable. The main effect of cognitive therapy was significant (odds ratio (OR) 0.04, 95% CI 0.01–0.71; \( P<0.028 \)). This means that there is a 96% reduction in the odds of making a transition in the cognitive therapy group compared with those who received monitoring alone, after adjustment for age, gender, family history and baseline PANSS score. Summary statistics for the other variables are as follows: family history, OR = 0.01, 95% CI 0.00–0.02, NS; age, OR = 1.15, 95% CI 0.96–1.38, NS; gender, OR = 4.59, 95% CI 0.42–50.48, NS; baseline PANSS positive score, OR = 1.50, 95% 1.02–2.20.

### Table 3  Details of participants classified as making transition or receiving antipsychotic medication

<table>
<thead>
<tr>
<th>Participant</th>
<th>Age (years)</th>
<th>Gender</th>
<th>Entry route</th>
<th>Allocation</th>
<th>PANSS transition</th>
<th>Antipsychotic medication</th>
<th>Probable DSM–IV diagnosis</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>33</td>
<td>M</td>
<td>AS</td>
<td>MON</td>
<td>Yes (month 3)</td>
<td>Yes (month 3)</td>
<td>Schizophrenia</td>
</tr>
<tr>
<td>2</td>
<td>17</td>
<td>F</td>
<td>AS</td>
<td>CT</td>
<td>Yes (month 5)</td>
<td>Yes (month 5)</td>
<td>Schizophrenia</td>
</tr>
<tr>
<td>3</td>
<td>27</td>
<td>M</td>
<td>AS</td>
<td>MON</td>
<td>Yes (month 3)</td>
<td>Yes (month 12)</td>
<td>Schizophrenia</td>
</tr>
<tr>
<td>4</td>
<td>21</td>
<td>M</td>
<td>Family</td>
<td>MON</td>
<td>No</td>
<td>Yes (month 6)</td>
<td>Schizophrenia</td>
</tr>
<tr>
<td>5</td>
<td>23</td>
<td>M</td>
<td>AS</td>
<td>MON</td>
<td>Yes (month 9)</td>
<td>Yes (month 6)</td>
<td>Schizophrenia</td>
</tr>
<tr>
<td>6</td>
<td>21</td>
<td>M</td>
<td>AS</td>
<td>MON</td>
<td>Yes (month 9)</td>
<td>Yes (month 12)</td>
<td>Schizophrenia</td>
</tr>
<tr>
<td>7</td>
<td>23</td>
<td>F</td>
<td>AS</td>
<td>CT</td>
<td>Yes (month 4)</td>
<td>No</td>
<td>Schizophreniform</td>
</tr>
<tr>
<td>8</td>
<td>22</td>
<td>M</td>
<td>AS</td>
<td>MON</td>
<td>Yes (month 5)</td>
<td>Yes (month 1)</td>
<td>Schizoaffective</td>
</tr>
<tr>
<td>9</td>
<td>17</td>
<td>M</td>
<td>BLIPS</td>
<td>CT</td>
<td>No</td>
<td>Yes (month 2)</td>
<td>None</td>
</tr>
<tr>
<td>10</td>
<td>20</td>
<td>M</td>
<td>AS</td>
<td>MON</td>
<td>No</td>
<td>Yes (month 3)</td>
<td>None</td>
</tr>
</tbody>
</table>

AS, attenuated (subclinical) symptoms; BLIPS, brief limited intermittent psychotic symptoms; CT, cognitive therapy; F, female; Family, family history of psychosis and recent deterioration; M, male; MON, monitoring; PANSS, Positive and Negative Syndrome Scale.
A secondary logistic regression was performed using prescription of antipsychotic medication as the dependent variable. The main effect of cognitive therapy was significant (OR = 0.06, 95% CI 0.01–0.57; P = 0.014). This means that there is a 94% reduction in the odds of making a transition in the cognitive therapy group compared with those who received monitoring alone, after adjustment for age, gender, family history and baseline PANSS score. Summary statistics for the other variables are as follows: family history, OR = 1.28, 95% CI 0.10–16.00, NS; age, OR = 0.99, 95% CI 0.83–1.20, NS; gender, OR = 0.63, 95% CI 0.05–7.72; baseline PANSS positive score OR = 1.31, 95% CI 0.94–1.83, NS. From the data in Table 2, the number needed to treat for preventing prescription of antipsychotic medication is 5. Another secondary logistic regression analysis was performed using a DSM-IV diagnosis of a psychotic disorder as the dependent variable. Again, the main effect of cognitive therapy was significant (OR = 0.04, 95% CI 0.01–0.57; P = 0.019). This means that there is a 96% reduction in the odds of making a transition in the cognitive therapy group compared with those who received monitoring alone, after adjustment for age, gender, family history and baseline PANSS score. Summary statistics for the other variables are as follows: family history, OR = 2.18, 95% CI 0.16–29.12, NS; age, OR = 1.11, 95% CI 0.94–1.31, NS; gender, OR = 4.13, 95% CI 0.38–44.40; baseline PANSS positive score, OR = 1.42, 95% CI 0.99–2.03, P = 0.052. From the data in Table 2, the number needed to treat for preventing someone from meeting DSM-IV criteria for a psychotic disorder is 5.

Effect of therapy on psychotic experiences

In order to examine the effect of cognitive therapy on psychotic experiences over the monitoring period, an analysis of covariance was performed using mean PANSS positive symptom score over the 12 monitoring sessions as the dependent variable. Initial PANSS positive score was used as a covariate in the analysis. There was a significant effect of group on mean PANSS positive scores (F = 4.09, P = 0.049), with cognitive therapy resulting in significantly fewer positive symptoms over time than treatment as usual. Baseline PANSS positive score was a significant covariate (F = 89.74, P = 0.001).

Effects of therapy on functioning and distress

In order to examine the effect of cognitive therapy on functioning and distress, multiple regression analyses were performed using direct entry with 12-month GAF and GHQ scores as dependent variables. Each analysis included baseline score (GAF or GHQ), age, gender and cognitive therapy as predictor variables. The multiple regression analysis was not significant for either GHQ scores (F = 2.54, P = 0.066, adjusted r² = 0.18) or GAF scores (F = 2.54, P = 0.067, adjusted r² = 0.19). However, it should be noted that there were many missing 12-month GAF and GHQ scores.

DISCUSSION

Summary of results

The identification of reliable operational criteria that predict a high risk of developing psychosis in the short term represents an important advance and raises the possibility of a preventive intervention. Our results suggest that a 6-month package of cognitive therapy is effective in reducing transition to psychosis over a 12-month period in a help-seeking, high-risk group. In addition, the high rate of consent to randomisation (95%) and the low withdrawal rate (14%) suggest that this is an acceptable intervention in this population (although the median number of sessions was low in comparison with the maximum available number, this reflected collaboratively agreed session contracts dependent on problem lists rather than engagement difficulties). This is, to our knowledge, the first study to suggest that cognitive therapy alone can prevent or delay progression to psychosis. Our findings complement the study of combined pharmacological therapy and psychotherapy (McGorry et al., 2002), which found a reduction in transition at the end of treatment but not at the 6-month follow-up; a double-masked, placebo-controlled trial of pharmacotherapy alone in the same population is due to report its findings soon (McGlashan et al., 2003). It would also appear that cognitive therapy reduces the severity of subclinical psychotic experiences over a 12-month period, for which the individual had originally sought help. There was no evidence that the therapy improved functioning or distress (as measured by scores on the GAF and GHQ), although there was a large amount of missing data for these measures. The potential importance of prevention in this area is further highlighted by the apparent emergence of structural brain deficits in those high-risk participants who went on to develop psychosis (Pantelis et al., 2003).

Why cognitive therapy?

There are several problems associated with using antipsychotic medication in an ultra-high-risk group. The risks associated with using pharmacological interventions with false-positive cases are considerable, adherence to antipsychotic medication regimens within this group is variable (McGorry et al., 2002) and the ethical position has caused some debate. In particular, it has been suggested (Bentall & Morrison, 2002) that use of antipsychotic medication is problematic because these drugs have harmful and stigmatising side-effects, their effect on the developing brain in adolescents is unknown, and because they target psychotic experiences, which may not be the priority for people at high risk. The ethics of using cognitive therapy with this client population may, therefore, be less controversial, especially as our patients are seeking help. We have argued (Morrison et al., 2002) that such therapy may be well suited to the prevention of psychosis. Its efficacy as an adjunct to routine treatments has been demonstrated in acute psychosis (Drury et al., 1996) and in cases of chronic, persistent psychotic symptoms (Tarrier et al., 1998; Sensky et al., 2000), as well as in relapse prevention (Gumley et al., 2003) and emotional disorders (Clark et al., 1994). Moreover, it is arguably less likely to result in distressing side-effects than medication. Our results suggest that a specific psychological intervention that is not usually associated with severe side-effects will be an effective and acceptable alternative to antipsychotic medication, particularly as a first line of treatment, for patients at ultra-high risk of developing psychosis. Indeed, McGorry et al. (2002) suggested that their ‘general stance is that off-label use of even novel antipsychotic medications in such patients
should not be first-line treatment’. It remains to be seen whether cognitive therapy truly prevents transition to psychosis, or merely provides a delay in onset (i.e. whether this effect would continue throughout a longer follow-up period).

Limitations and future directions

The fact that there was a significant effect of cognitive therapy on all psychosis-related outcome measures, both primary and secondary, suggests that these findings are likely to be robust. However, our study has methodological limitations. The sample size was small, and the 12-month transition rate overall of 12% (excluding the 2 individuals with psychosis at baseline) was lower than that of 26% in the PACE trial (McGorry et al, 2002), perhaps owing to lower non-consent rates in this trial. It proved impossible fully to maintain masking to treatment allocation for assessment of the primary outcome, as had also been the case in the previous trial in this population (McGorry et al, 2002). The method of randomisation, which resulted in uneven group sizes, was not ideal, but was unbiased, independent and pragmatic. The exclusion of two participants who, at the first post-randomisation assessment, reported having been psychotic at the time of the baseline assessment is another issue, as the study would not have achieved significant results had they been included in the analyses. However, it would seem reasonable to exclude such patients when they were unambiguously reporting that they were effectively unsuitable for inclusion for the trial at entry, so long as this occurred at a time prior to any treatment. There were also a number of participants lost to follow-up, and some participants did not have complete data-sets, owing to the highly mobile nature of this population. It should also be noted that both the monitoring and the therapy conditions included elements of case management, such as helping people network with appropriate services to address social problems such as housing and finances. It is also difficult to determine whether there is a specific beneficial effect of cognitive therapy or whether gains are attributable to non-specific effects of having a therapeutic relationship and regular contact with a mental health professional; however, as a pragmatic preliminary trial designed to discover whether an intervention worked at all, these results certainly support the further investigation of such therapy as a preventive intervention. Formal indices of treatment integrity were not assessed, although both therapists had close supervision and had specific post-qualification training in cognitive therapy.

Future research in this area should attempt to address such issues. This study measured psychotic experiences – rather than the distress and disability associated with them – as the primary outcome; it would be desirable to measure the latter in future studies, along with service-user-defined outcomes and the occurrence of non-psychotic disorders in this population. Future studies should also attempt to evaluate side-effects and acceptability of treatment in a more formal manner; for example, the risk of stigmatisation that exists with psychological interventions should be monitored. Further research of this kind should also include a 1-month baseline period with reassessment of eligibility, in order to exclude those concealing a psychotic disorder, and, ideally, a control condition that would deliver equivalent contact with a therapist in order to control for non-specific aspects (which might also help to maintain masking).

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