Social problem-solving plus psychoeducation for adults with personality disorder

Pragmatic randomised controlled trial†

NICK HUBAND, MARY McMURRAN, CHRIS EVANS and CONOR DUGGAN

Background Social problem-solving therapy may be relevant in the treatment of personality disorder, although assessments of its effectiveness are uncommon.

Aims To determine the effectiveness of a problem-solving intervention for adults with personality disorder in the community under conditions resembling routine clinical practice.

Method Participants were randomly allocated to brief psychoeducation plus 16 problem-solving group sessions (n = 87) or to waiting-list control (n = 89). Primary outcome was comparison of scores on the Social Problem Solving Inventory and the Social Functioning Questionnaire between intervention and control arms at the conclusion of treatment, on average at 24 weeks after randomisation.

Results In intention-to-treat analysis, those allocated to intervention showed significantly better problem-solving skills (P < 0.001), higher overall social functioning (P = 0.031) and lower anger expression (P = 0.039) compared with controls. No significant differences were found on use of services during the intervention period.

Conclusions Problem-solving plus psychoeducation has potential as a preliminary intervention for adults with personality disorder.

Declaration of interest None.

Social problem-solving therapy aims to improve social competence by teaching how to discover solutions to problems in living (D'Zurilla & Nezu, 1999). Social dysfunction is a major problem for people with personality disorder (Vaillant, 1987; Benjamin, 1993; Skodol et al, 2005), and such therapy has potential to alleviate this aspect of the disorder. This approach offers several advantages; it can be offered either as a brief intervention or as a preparation for more intensive work, and delivery in groups allows relatively large numbers access to treatment, which is important in view of the high prevalence of personality disorder. Although problem-solving interventions have been evaluated for self-harm (Salkovskis et al, 1990), outcome studies of treatments for adults with personality disorder are uncommon (McMurrnan et al, 2001; Blum et al, 2002). This trial (National Research Register M0007108501) evaluates, in conditions near routine practice, the effectiveness of a skills-based intervention augmented by brief psychoeducation in an attempt to minimise attrition and promote engagement.

METHOD

Trial design
Problem-solving therapy concentrates on counteracting impulsivity, defining problems, generating solutions, encouraging consequential thinking and developing means–end action planning (D'Zurilla & Nezu, 1999). The intervention studied here is an extension of a particular problem-solving programme (Stop & Think!) that has been shown to produce significant improvements on self-assessed problem-solving ability in a secure setting (McMurrnan et al, 1999, 2001). In this community setting where attrition rates are considerable (Skodol et al, 1983; Gunderson et al, 1989), group sessions were preceded by brief individual psychoeducation (Banerjee et al, 2006) to inform patients about their diagnoses, to prioritise problems identified by the personality assessment, to clarify links between diagnosis and social problem-solving difficulties, and hence to highlight the relevance of the treatment to follow and encourage engagement.

The study was a pilot, as it was the first time that this therapeutic combination had been tested for personality disorder in the community. The design was shaped by the practical clinical trial model (Hoptop et al, 1999) while conforming to as many of the CONSORT (Consolidated Standards of Reporting Trials; Begg et al, 1996) guidelines as possible.

The study was approved by local research ethics committees and conducted across five sites in the East Midlands region of England, encompassing four counties and a mix of urban and rural settings (total catchment area 11 937 km²; total population 2.41 million). Local services were asked to identify potential volunteers, who were then given written information about the trial. All volunteers were offered assessment unless there was previous indication that any of the inclusion criteria would not be met. The inclusion criteria comprised: presence of at least one DSM-IV (American Psychiatric Association, 1994) personality disorder, absence of a major functional psychosis, and age between 18 and 65 years. Literacy and cognitive functioning sufficient to cope with assessment and allow engagement with the intervention was established through discussion with the referref before accepting a nomination. There was no preferential selection of individuals who appeared highly motivated. All participants provided written informed consent and received no payment for taking part, although travelling expenses were reimbursed.

Diagnosis of DSM-IV personality disorder based on the interview version of the International Personality Disorder Examination (IPDE; World Health Organization, 1995). Interviews were carried out by one of six clinicians who were experienced in working with people with personality disorder and trained in the use of the IPDE. Interrater reliability was checked by one of the authors, who observed 16 randomly selected assessments and scored responses independently. Demographic and historical data were obtained from reviews of participants' records.

†See editorial, pp. 283–284, this issue.
Randomisation

Randomisation was to one of two conditions: intervention, in which participants were offered problem-solving therapy plus psychoeducation in addition to their usual treatment; or waiting-list control, in which participants received only their usual treatment. Randomly permuted blocks based on computer-generated random numbers were provided by an independent statistician. Block size was not revealed to any research or clinical staff. Allocation codes were pre-sealed into identical, sequentially numbered, opaque envelopes which were opened in sequence by research staff with the person responsible for recruitment (the trial coordinator), masked to allocations. A sealed summary of participants' names and allocations was retained by an impartial custodian until the end of the trial. As an aid to recruitment, all those allocated to the control condition were offered the intervention directly after the corresponding intervention-arm therapy group had concluded.

Delivery of the intervention

Participants initially attended an individual psychoeducation programme, typically three 1-h sessions, where they learned about personality disorder and the nature of their own diagnosis as derived from their IPDE assessment. This was followed by 16 weekly group-based problem-solving sessions, each lasting approximately 2 h. Groups started with no more than eight members, with men and women in separate groups. Depending on individual need and staff availability, additional support sessions were available to some participants on request. These focused solely on progress with problem-solving steps, and were fortnightly or less frequent.

At each site, and for each gender, intervention and waiting-list conditions were managed as a pair. Each treatment group managed as a pair. Each treatment group concluded. Elapsed time from baseline to end point varied as therapy groups did not always complete their allocated quota of 16 sessions at the same time, and it was judged that assessments should be made only after completion, not at a uniform time. Although the mean period to end point was 24 weeks, this ranged from 21 to 28 weeks, and included some groups that terminated early or started late and were influenced by practical factors such as school and public holidays. Participants allocated to the control arm were asked to complete the SPSI–R and the SFQ measures immediately following randomisation (baseline) and to complete all six measures as they reached the end of their time on the waiting list (end point). Use of services was recorded for each participant, over the period during which the intervention arm received treatment. Details of in-patient admissions, accident and emergency department visits, and contacts with mental health staff were obtained by inspecting hospital records and health service databases.

Outcome measures

Primary outcome measures were scores on two self-report instruments assessing social problem-solving ability and overall social functioning. The Social Problem Solving Inventory – Revised (SPSI–R; D'Zurilla et al., 2002) has five sub-scales (Positive Problem Orientation, Negative Problem Orientation, Rational Problem Solving, Impulsivity/Carelessness Style and Avoidance Style) and also gives a total score in the range 0 to 20, with higher values indicating greater problem-solving ability. The Social Functioning Questionnaire (SFQ; Tyrer et al., 2005) contains eight items relating to difficulties completing tasks, financial problems, problems with close relationships and sex life, relations with relatives, feelings of loneliness and isolation, and enjoyment of spare time. Responders rate the extent to which they have experienced problems in each area over the past 2 weeks. Scores range from 0 to 24, higher values indicating greater social dysfunction.

Secondary outcome measures were scores on four additional self-report instruments measuring anger, impulsiveness, shame and dissociation – the State–Trait Anger Expression Inventory – 2 (STAXI–2; Spielberger, 1999), the Barratt Impulsiveness Scale (BIS; Patton et al., 1995), the Experience of Shame Scale (ESS; Andrews et al., 2002) and the Dissociative Experiences Scale (DES; Bernstein & Putnam, 1986). All these instruments have well-established validity and reliability.

Participants allocated to the intervention arm were asked to complete all six psychometric measures at baseline (i.e. before commencing group work) and again at end point (defined as the time at which each treatment group concluded). Elapsed time from baseline to end point varied as therapy groups did not always complete their allocated quota of 16 sessions at the same time, and it was judged that assessments should be made only after completion, not at a uniform time. Although the mean period to end point was 24 weeks, this ranged from 21 to 28 weeks, and included some groups that terminated early or started late and were influenced by practical factors such as school and public holidays. Participants allocated to the control arm were asked to complete the SPSI–R and the SFQ measures immediately following randomisation (baseline) and to complete all six measures as they reached the end of their time on the waiting list (end point). Use of services was recorded for each participant, over the period during which the intervention arm received treatment. Details of in-patient admissions, accident and emergency department visits, and contacts with mental health staff were obtained by inspecting hospital records and health service databases.

Calculation of sample size

Assuming equal numbers of participants in intervention and control arms, a total sample size of 128 was calculated for an effect size of 0.50, an alpha level of 0.05 and a power of 0.80 (GPOWER Software; Faul & Erdinger, 1992).

Statistical analysis

Basic statistical analyses were performed using the Statistical Package for the Social Sciences software for Windows (version 12.0). Analyses of covariance (ANCOVAs) and bootstrapping were conducted in R 2.2.1 (R Development Core Team, 2005). A significance criterion of $P < 0.05$ and two-tailed tests were used throughout. Categorical comparisons were made using chi-square tests with Yates’s correction. The planned primary outcome analyses were by intention-to-treat, i.e. analysed by randomisation arm irrespective of attendance or treatment compliance. Missing end-point data were replaced using last-observation-carried-forward (LOCF) baseline data on a case-by-case basis where available. No other method of imputation was deployed. Intervention and control arms’ end-point outcome measure scores were compared using ANCOVA with baseline values as the covariate. Where the regression of end point on baseline scores was statistically significantly different by group, we estimated a model incorporating separate slopes and report interaction significance as well as the main effect for randomisation group. For secondary psychometric measures, the two allocation conditions were compared at end point using one-way analysis of variance, or Mann–Whitney tests where score distributions were clearly not Gaussian.

For measures of service use, comparisons were made in two ways: on observed rates over the time period (from randomisation to end point) over which the intervention arm received treatment and changes in rates from baseline reported with bootstrapped 95% confidence intervals; and by comparing mean survival times until next episode, calculated using...
RESULTS

Recruitment and allocation

Referrals were sought between September 2002 and March 2004. Of 464 individuals identified as potentially suitable, 126 (59 men; 67 women) chose not to volunteer, and 21 were screened out for reasons that included evidence of functional psychosis, not understanding that the intervention included evidence of functional psychosis, or insufficient literacy or cognitive functioning. Of the 317 who volunteered, 255 attended sufficient assessment, of whom 14 did not meet the criteria for personality disorder. A total of 65 individuals were assigned to non-randomised treatment for this reason; their outcome is not reported here.

Reliability of IPDE diagnosis

Interviewer–observer agreement from 16 double-rated interviews was calculated on 3 × 3 tables; Cohen’s kappa ranged from 0.69 to 0.88 (mean 0.83, s.d. 0.05). There was no disagreement in the assignment of research diagnosis of personality disorder in any of these cases.

Allocation concealment

In an attempt to test the degree to which the trial coordinator had remained masked to the allocation process, a randomly selected list of 86 names was prepared just before the end of the trial. The coordinator was asked to decide the randomisation of each. When checked against the list held by the impartial custodian, the allocation was correct for 53.5% of cases, indicating that guessing allocation was no better than chance.

Outcome on primary outcome measures

End-point means include LOCF substitution, and the intention-to-treat analysis required 20 SPSI–R and 16 SFQ baseline scores to substitute for missing end-point data. Table 2 shows the group parameters and tests of group differences. The number for the baseline is lower than that for the end point, as some participants did not complete the measures at baseline but agreed to do so at end point. The ANCOVA of SPSI–R data showed a statistically significant difference in the regression of end point scores on baseline scores between the groups (slopes: intervention
The intervention condition had significantly better social problem-solving skills and significantly higher overall social functioning at end point in comparison with those allocated to the waiting-list control condition (Table 2).

Correlation between SFQ and SPSS–R scores was moderate and significant (Pearson’s $r = -0.49, P < 0.001$) at baseline, and similar at end point. To explore for the possibility that outcome was dependent on geographical site, the ANCOVA was repeated with site as an additional fixed factor (five categories). No significant group-by-site interaction was detected for either SPSS–R scores ($F=0.62, P=0.63$) or SFQ scores ($F=0.88, P=0.48$).

This analysis was repeated for each SPSS–R sub-scale. Adjusted mean differences between intervention and control conditions reached statistical significance ($P < 0.05$) for all five scales. Effect size ranged from +0.31 to +0.71.

### Outcome on secondary outcome measures

Intention-to-treat comparisons on secondary psychometric outcome measures also are given in Table 2. No significant difference was detected between intervention and control arms on impulsiveness (BIS), dissociation (DES) or shame (ESS) scores. One-way analysis of variance of data from the STAXI–2 instrument indicated that the intervention arm scored significantly lower on overall anger expression ($P=0.039$) in comparison with the controls.

### Outcome on service use measures

Rates of service use were calculated as the mean contacts per 30 days over the time period of interest. Compared with the control group at end point, those in the intervention arm had a greater mean rate of contact with a psychiatrist (0.17 vs. 0.15 visits per month) but a lower mean rate of contact with other mental health staff (0.57 vs. 0.89 visits per month), with all mental health staff (0.74 vs. 1.04 visits per month), with accident and emergency departments for self-harm (0.03 vs. 0.07 visits per month), with accident and emergency departments for any reason (0.09 vs. 0.13 visits per month), and for in-patient admissions (0.02 vs. 0.13 visits per month). None of these differences was significant. Mean survival times until next episode were not significantly different between arms for visits to accident and emergency departments (log-rank statistic 0.80, $P=0.37$) or for in-patient admissions (log-rank statistic 1.51, $P=0.22$).

### Attendance and attrition

Of the 87 individuals randomised to intervention, 11 (13%) never attended (Fig. 1). All but one of those who did attend completed the psychoeducation component. There were 42 (48%) completers who were still attending at the penultimate or final group session, and 33 (38%) non-completers who dropped out of treatment before the penultimate
### Table 2
Intention-to-treat analysis of psychometric scores: mean (s.d.) unless otherwise specified

<table>
<thead>
<tr>
<th></th>
<th>Baseline</th>
<th>End point¹</th>
<th>Difference²</th>
<th>95% CI</th>
<th>Statistic³</th>
<th>P</th>
<th>d⁴</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Intervention group</td>
<td>Controls</td>
<td>n</td>
<td>Intervention group</td>
<td>Controls</td>
<td>n</td>
<td></td>
</tr>
<tr>
<td>Social problem-solving (SPSI-R)</td>
<td>7.72 (3.50)</td>
<td>74</td>
<td>6.98 (3.41)</td>
<td>63</td>
<td>9.62 (4.05)</td>
<td>75</td>
<td>7.12 (3.42)</td>
</tr>
<tr>
<td>Social functioning (SFQ)</td>
<td>13.81 (4.41)</td>
<td>74</td>
<td>14.52 (3.83)</td>
<td>64</td>
<td>12.09 (4.54)</td>
<td>74</td>
<td>13.74 (3.78)</td>
</tr>
<tr>
<td>Anger expression (STAXI-AX)</td>
<td>45.1 (16.5)</td>
<td>76</td>
<td>51.2 (16.5)</td>
<td>55</td>
<td>75.1 (13.8)</td>
<td>76</td>
<td>75.8 (13.4)</td>
</tr>
<tr>
<td>Impulsiveness (BIS)</td>
<td>69.8 (95% CI 64.7–74.1)</td>
<td>(median 74.0)</td>
<td>69.2 (95% CI 64.0–74.5)</td>
<td>(median 69.0)</td>
<td>6.1</td>
<td>-6 -1.5 to -2.0</td>
<td>F=0.08</td>
</tr>
<tr>
<td>Shame (ESS)</td>
<td>23.3 (95% CI 19.1–27.5)</td>
<td>(median 16.4)</td>
<td>25.7 (95% CI 21.1–30.3)</td>
<td>(median 24.0)</td>
<td>0.6</td>
<td>-9.3 to 6.5</td>
<td>Z=-0.26</td>
</tr>
<tr>
<td>Dissociation (DES)</td>
<td>2.4 (95% CI 1.9–2.9)</td>
<td>(median 2.4)</td>
<td>3.2 (95% CI 2.1–3.3)</td>
<td>(median 2.4)</td>
<td>-2.4</td>
<td>-10.4 to 3.2</td>
<td>Z=-1.12</td>
</tr>
</tbody>
</table>

SPSI-R, Social Problem Solving Inventory—Revised; SFQ, Social Functioning Questionnaire; STAXI-AX, State–Trait Anger Expression Inventory—Anger Expression Index; BIS, Barratt Impulsiveness Scale; ESS, Experience of Shame Scale; DES, Dissociative Experiences Scale.

1. The end point was a mean of 24 weeks after randomisation but ranged from 21 to 28 weeks (see Method).
2. SPSI and SFQ estimated by analysis of covariance using baseline values of the response variables as the covariate and pooled regression of end point on baseline; remaining values, simple differences of means.
3. SPSI and SFQ intervals are observed 95% centiles of 1000 bootstraps of the difference in group mean residuals; remaining intervals are simple centiles of bootstrapped mean group differences.
4. SPSI and SFQ comparisons by analysis of covariance, SPSI allowing different slopes, STAXI and BIS using simple analysis of covariance; ESS and DES comparisons using Mann–Whitney tests.
5. Based on differences in column 10. For SPSI and SFQ, values based on end point scores after regressing on baseline scores are higher: 0.77 (SPSI) and -0.36 (SFQ).
group session; the latter group comprised 18 (21%) who discontinued after fewer than five group sessions and 15 (17%) who attended at least five sessions.

Regular attendance was encouraged, although commitment to attend every group session was not an entry criterion. Participants knew that exclusion would only occur on the third consecutive missed session, which implied a minimum acceptable attendance rate of 6 out of 16 group sessions. Mean number of sessions attended was 12.1 for the intervention overall and 9.1 for group sessions alone. Of the intervention group, 50% were still attending at the 11th group session. We were unable to follow up each individual who discontinued early, but the feedback that was available suggested that some participants discontinued for negative reasons such as not liking anything about the programme (n=2) or feeling conflict with other group members (n=3), some for positive reasons such as starting employment (n=2), commencing dynamic psychotherapy (n=5) or feeling they had gained as much as they could from the programme; and some for neutral reasons such as moving to another area (n=3).

In an attempt to discern factors that might predict attrition, we first calculated the total number of sessions available to each participant, to allow for the fact that 5 of the 13 treatment groups did not run for the full 16 weeks. We then compared those who attended less than 50% of available sessions (n=34) with those who attended at least 50% of sessions (n=53) on Axis II diagnosis, service use history, attendance at the initial assessment and baseline psychometric scores. Members of the high-attrition subgroup were more likely to have a forensic history (x^2 =9.51; P=0.002), to have personality disorder in more than one cluster (x^2 =5.05; P=0.025), to have personality disorder in cluster B (x^2 =3.88, P=0.049), and to have greater impulsivity scores at baseline (t=2.62; P=0.011) than those attending more than 50% of sessions. The poor attenders were also less likely to have attended the first IPDE assessment appointment offered (x^2 =3.70, P=0.054). The two subgroups were not significantly different by site or distance travelled to the group venue.

**DISCUSSION**

This study sought to assess effectiveness for a relatively simple problem-solving approach for those with personality disorder in a community setting. The design focused on a pragmatic delivery of a service allowing the intervention to be evaluated realistically with staff and facilities representative of local community settings, and we recruited a sample who were, on average, quite disabled in terms of their baseline SFQ scores.

We identified three important study limitations. First, individuals assigned to the waiting list were subsequently offered the intervention, which effectively removed the possibility of longer-term follow-up comparisons. Second, outcome was based on measurements at just two time points (baseline and end point), so it was hard to detect biased scores that can arise when participants complete questionnaires in a very optimistic or very pessimistic mood. Having an additional mid-treatment measurement would have addressed trend over time and helped detect such anomalies. Third, intention-to-treat analysis is likely to give a reduced estimate of treatment effect when adherence is rather low, as it is for this client group and was the case in this study.

Those assigned to the intervention condition showed significant improvement in self-assessed social problem-solving ability, in social functioning, and also in anger expression when compared with controls. Although the change in social functioning as measured by the SFQ appears small, the SFQ has been found to be a very stable measure and a mean change in one point is generally clinically as well as statistically significant; in previous studies with a type of population similar to that studied here, the total change has been only around one mean point (Tyrer & Simmonds, 2003). That the other self-report measures showed no significant change with the intervention suggests that changes were specific for the intervention and not just self-report bias.

No significant improvement was seen when comparing measures of service use between intervention and control conditions, but this might reasonably be anticipated since such measures were made only over the time of the intervention and not beyond. Whether or not the intervention can have an impact on behaviour over a longer time requires further study.

Attrition can be considerable when treating this diagnostic group. In borderline personality disorder, for example, Gunderson et al (1989) described a drop-out rate of 60% at 6 months in one inpatient trial, Skodol et al (1983) reported a 40% discontinuance within 3 months for out-patients, and Waldinger & Gunderson (1984) obtained a mean rate of 47% at 6 months in a survey of private psychotherapy practices. Specialist day hospitals tend to show lower rates (e.g. 38%, Karterud et al, 1992; 13%, Bateman & Fonagy, 1999). The rates of non-engagement and attrition for the current trial were expected to be high, as initial screening was minimal and no attempt was made to exclude individuals with a poor attendance record. Furthermore, most of the intervention was in groups for interests of economy, even though anecdotally some participants had indicated a preference for individual treatment. Our 48% overall completion rate appears reasonable in view of these circumstances. Since rate of drop-out varies with the definition of attrition employed, we followed Thormahlen et al (2003) and separated those assessed as suitable but who did not engage (i.e. non-engagers) from those who engaged but did not complete (i.e. non-completers), who again were separated by whether they dropped out early or late. In our trial 13% were non-engagers, 21% were early non-completers (attending fewer than 5 group sessions) and 17% were late non-completers. Furthermore, 50% were still attending at the 11th group session, whereas some American studies have suggested that 50% of psychotherapy out-patients terminate their treatment by the 8th session (Garfield, 1986).

Previous work has identified young age (Smith et al, 1995) and pre-treatment hostility (Skodol et al, 1983; Gunderson et al, 1989) as predicting non-completion of dynamic psychotherapy for people with borderline personality disorder. We found non-completion was predicted by forensic history, greater impulsivity and greater severity of personality disorder. We also observed that those with avoidant personality disorder were not particularly poor attenders; the combination of individual (psychoeducation) and group (problem-solving) work may be beneficial for such clients.

This trial is a considerable advance, since few existing studies evaluate problem-solving interventions for adults with personality disorder. However, it is unlikely that any 20-week intervention would deliver a ‘cure’ for a condition that, by definition, is very long-standing, and it would be unrealistic to expect significant
and enduring changes in personality or behaviour in such a short time period. The more relevant and realistic question, in view of the lack of resources and trained personnel to deliver effective treatments to a large group of disabled individuals, is whether this or similar approaches can reduce distress associated with this disorder. These results are a useful beginning, although we acknowledge that it is a pilot. Further carefully constructed randomised controlled trials are now required to confirm these initial encouraging results.

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