Neurodevelopmental marker for limbic maldevelopment in antisocial personality disorder and psychopathy

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**Background**
Antisocial personality disorder and psychopathy have been hypothesised to have a neurodevelopmental basis, but this proposition has not been formally tested.

**Aims**
This study tests the hypothesis that individuals with cavum septum pellucidum (CSP), a marker of limbic neural maldevelopment, will show higher levels of psychopathy and antisocial personality.

**Method**
Cavum septum pellucidum was assessed using anatomical magnetic resonance imaging in a community sample. Those with CSP (n=19) were compared with those lacking CSP (n=68) on antisocial personality, psychopathy and criminal offending.

**Results**
Those with CSP had significantly higher levels of antisocial personality, psychopathy, arrests and convictions compared with controls. The pervasiveness of this association was indicated by the fact that those lacking a diagnosis of antisocial personality disorder, but who were charged or convicted for an offence, had a more extensive CSP than non-antisocial controls. Results could not be attributed to prior trauma exposure, head injury, demographic factors or comorbid psychiatric conditions.

**Conclusions**
Our findings appear to be the first to provide evidence for a neurodevelopmental brain abnormality in those with antisocial personality disorder and psychopathy, and support the hypothesis that early maldevelopment of limbic and septal structures predisposes to the spectrum of antisocial behaviours.

**Declaration of interest**
None.

Violence is increasingly being viewed by the Department of Health and Human Services in the USA and other international agencies as a global public health problem.1,2 Although a neurodevelopmental basis to violent and antisocial behaviour has long been hypothesised,3,4 there has been no prior investigation of a structural brain abnormality reflective of early neural maldevelopment in any antisocial population. Although abnormal structure/function in multiple limbic and paralimbic structures, including the amygdala5–8 hippocampus,9–10 thalamus,11 hypothalamus,12 anterior cingulate,13 posterior cingulate,14 insula15–17 and orbitofrontal cortex,6,13,14 has been reported in adult antisocial, aggressive and psychopathic individuals, brain impairments could conceivably be a consequence of a violent lifestyle rather than a cause, and consequently it is difficult to infer causality from cross-sectional studies. Neurological research on people with head injury does, however, suggest that brain impairment may be of aetiological or pathophysiological significance with respect to psychopathy,15–17 although genetic factors cannot be ruled out. Furthermore, initial imaging findings on structural and functional brain abnormalities in child and adolescent populations characterised by antisocial behaviour and callous–unemotional traits is suggestive of a possible neurodevelopmental basis to antisocial and psychopathic behaviour.6,18–23

Cavum septum pellucidum (CSP – referred to historically as cavum septi pellucidi) is a marker for fetal neural maldevelopment. The septum pellucidum is one component of the septum and consists of a deep, midline, limbic structure made up of two translucent leaves of glia separating the lateral ventricles, forming part of the septohippocampal system. It consists predominantly of ependymal glia and fibre tracts beneath the genu and rostrum of the corpus callosum on the medial side of the frontal lobe.24 Another component of the septum (the septum verum) contains the septal nuclei, lying more ventrally in the paraterminal gyrus. During fetal development at approximately the twelfth week of gestation, a space forms between the two laminae – the CSP – closure of which begins at approximately the twentieth week of gestation and ends shortly after birth (3–6 months postnatally).25 Fusion of the CSP is attributed to rapid development of the alvei of the hippocampus, amygdala, septal nuclei, fornix, corpus callosum and other midline structures.26,27 Lack of such limbic development interrupts this posterior-to-anterior fusion, resulting in preservation of the CSP into adulthood.

There are individual differences in the degree of this neurodevelopmental abnormality; whereas some have complete closure of the cavum, others present with a small degree (>6 mm in the coronal plane) of incomplete closure.26,27 The cause of the maldevelopment of midline limbic structures that results in CSP is largely unknown, although it is thought that prenatal alcohol exposure plays a significant teratogenic role.28

To test the neurodevelopmental hypothesis of antisocial personality disorder and psychopathy, we examined the presence of CSP in antisocial and psychopathic individuals using anatomical magnetic resonance imaging (MRI) in a community sample at risk for antisocial personality disorder and psychopathy. If antisocial personality disorder and psychopathic behaviour are partly a product of disrupted limbic neurodevelopment in the prenatal and early postnatal months, those with a CSP would be hypothesised to show more antisocial, psychopathic and criminal behaviour. The pervasiveness of the hypothesised relationship was further tested by assessing whether individuals lacking antisocial personality disorder, but who nevertheless show some degree of criminal activity, also show more evidence of CSP than non-antisocial controls.
Participants

Eighty-seven participants (75 male, 12 female) were recruited from five temporary employment agencies. Exclusion criteria were: age under 21 or over 46, non-fluency in English, history of epilepsy, claustrophobia, pacemaker, ostensible neurological abnormality and metal implants. Ethnic representation was as follows: White (51%), Asian (6%), Hispanic (13%), African American (29%), and other (1%). Written informed consent was obtained, and the study was approved by an institutional review board. This community recruitment strategy is novel, but has the advantage that it samples individuals at high socio-economic risk, with an eightfold increase in the yield of those with psychopathy/antisocial personality. To maximise confidentiality and minimise denial of self-report crime, a certificate of confidentiality was obtained from the Secretary of Health and Human Service under section 303(a) of the Public Health Act 42.

Antisocial personality disorder and criminal offending

Diagnoses of antisocial personality disorder and psychopathy were made by two PhD-level research assistants who had undergone a standardised training and quality assurance programme for diagnostic assessment. Antisocial personality disorder was assessed using the DSM–IV criteria and the Structured Clinical Interview for DSM–IV Axis II Personality Disorders (SCID–II). Diagnoses of antisocial personality disorder and psychopathy were assessed using the Psychopathy Checklist–Revised (PCL–R). A total score (range 0–40) and two subfactors (interpersonal/affective and social deviance) were derived. Internal reliability (Cronbach’s alpha) was 0.90. Assessments were supplemented by five additional sources of collateral data (see Raine et al.) for full details. Consistent with our prior work in community samples those with a PCL–R score of 23 or more were designated as psychopathic (30 male, 2 female).

Cognitive, trauma, psychiatric and demographic assessments

Four subtests (vocabulary, arithmetic, block design and digit symbol) of the Wechsler Adult Intelligence Scale – Revised (WAIS–R) were used to estimate IQ. In total 20.4% of the sample had been exposed to life-threatening traumatic events. Because only one participant met full DSM–IV diagnostic criteria for post-traumatic stress disorder (PTSD), degree of traumatic stress was assessed by summing scores on the five PTSD criteria of DSM–IV. Similarly, a dimensional measure of antisocial personality disorder was created by summing SCID scores on individual antisocial personality disorder symptoms. Potential psychiatric confounds (alcohol misuse/dependence, substance misuse/dependence, schizophrenia-spectrum disorders, psychosis, bipolar disorder, depression) were assessed using the SCID–I and II. Head injury was defined as the number of times knocked unconscious. Social class was measured using the Hollingshead classification system. Group scores and comparisons on psychiatric, cognitive and demographic measures are given in Table 1.

MRI

Acquisition

Structural MRIs were conducted on a Philips SI5/ACS scanner (Selton, Connecticut) with a 1.5 tesla magnet. Following an initial alignment sequence of one midsaggital and four parasaggital scans (spin-echo T1-weighted image acquisition, repetition time (TR) 600 ms, echo time (TE) 20 ms) to identify the anterior commissure–posterior commissure (AC–PC) plane, 128 3-D T1-weighted gradient-echo coronal images (TR = 34 ms, TE = 12.4 ms, flip angle 35°, 1.7 mm slices, 256 × 256 matrix, field of view (FOV) 23 cm) were taken orthogonal to the AC–PC line.

CSP assessment

Image preprocessing was conducted using individual executable programs in a processing tree using the LONI Pipeline Processing...
Environment. Images were first reformatted as 1.0 mm slices to increase resolution for visual analysis. Anatomical boundaries for CSP were as follows: the genu of the corpus callosum defined the anterior boundary, the body of the corpus callosum defined the superior boundary, the rostrum of the corpus callosum and fornix defined the inferior boundary, and the junction of the splenium of the corpus callosum with the crus of the fornix defined the posterior boundary. The number of coronal 1 mm slices on which the CSP was present was recorded. Following the convention of other studies, presence of CSP was defined as a CSP of 6 mm or greater length ($n = 19$; 14 male, 5 female). Scoring was performed in the anterior-to-posterior direction in the coronal view, using a simultaneous sagittal view to ensure that consistent anatomical boundaries were maintained. Scoring was conducted masked to all other participant data. Twenty-five participants were randomly selected and scored by a second rater masked to group membership and the other rater’s assessment; interrater reliability of length of CSP was high ($r = 0.98$). A coronal illustration of CSP is provided in Fig.1.

Statistical analyses

All analyses were conducted using SPSS 10 for Windows. A 2 (CSP present/absent) x 2 (male/female) univariate analyses of variance design was conducted with antisocial measures as dependent variables, in addition to multivariate analyses for subfactors of psychopathy. In each analysis on the antisocial construct in question (e.g. antisocial personality disorder, psychopathy) those with, for example, antisocial personality disorder were compared with all others in the sample who did not fulfil criteria for antisocial personality disorder. All tests of significance are two-tailed. Effect sizes were calculated using $r^2$ (% variance accounted for). Factor analysis using an oblimin oblique rotation was used to derived subfactors of antisocial personality disorder to assess whether CSP was more associated with aggressive/life-course features.

Results

Antisocial personality disorder

A main effect of CSP grouping indicated higher scores on antisocial personality disorder (mean 6.20) in the CSP group compared with controls (mean 5.29) ($F(1,83) = 5.00$, $P = 0.028$, $r^2 = 0.057$, Fig. 2). Males had higher antisocial personality disorder scores (mean 5.29) than females (mean 0.50) ($F(1,83) = 8.85$, $P = 0.004$, $r^2 = 0.096$), but there was no cavum x gender interaction ($P = 0.11$).

Subfeatures of antisocial personality disorder

Two subcomponents of antisocial personality disorder were derived using factor analysis. Significant ($>0.30$) loadings on the aggressive/life-course factor (coefficient $\alpha = 0.71$) consisted of irritability/aggressiveness (0.83), reckless disregard for self/others (0.70), child/adolescent conduct disorder (0.69), failure to conform to social norms (0.64) and lack of remorse (0.33). Loadings on the deceptive–irresponsible factor (coefficient $\alpha = 0.67$) were deceitfulness (0.89), consistent irresponsibility (0.77), impulsivity (0.60) and lack of remorse (0.31). The two factors were correlated 0.46 ($P < 0.001$). The regression method was used to estimate factor scores for each factor.

Presence of a CSP was more related to the aggressive/life-course component of antisocial personality disorder than to deceptive–irresponsible features (Fig. 3). A main effect of CSP grouping indicated higher aggression scores in the CSP group.
compared with controls, $F(1,80) = 5.42, P = 0.022$, $\eta^2 = 0.063$, with no $CSP \times$ gender interaction ($P=0.128$). No such CSP main effect was observed for the deceptive–irresponsible factor, $F(1,80) = 1.46, P = 0.229$, and no $CSP \times$ gender interaction was observed ($P=0.22$).

**Psychopathy**

A main effect of CSP grouping indicated higher psychopathy scores in the CSP group, $F(1,80) = 8.21, P = 0.005$, $\eta^2 = 0.093$ (Fig. 2). A significant CSP $\times$ gender interaction indicated particularly higher psychopathy scores in females with a CSP, $F(1,80) = 4.41, P = 0.039$, $\eta^2 = 0.052$.

**Subfactors of psychopathy**

A multivariate analysis of variance on the two main factors of psychopathy indicated a main effect of CSP grouping on criminal charges and convictions, $F(2,80) = 4.47, P = 0.014$, $\eta^2 = 0.10$, with no group $\times$ gender interaction ($P=0.10$). Univariate analyses indicated higher scores in the CSP group on both the interpersonal/affective factor, $F(2,80) = 4.98, P = 0.028$, $\eta^2 = 0.06$, and the social deviance factor, $F(2,80) = 8.82, P = 0.004$, $\eta^2 = 0.10$ (Fig. 3).

**Criminal charges and convictions**

Those with a CSP present had more criminal charges and convictions than controls (Fig. 2). A multivariate analysis of variance indicated a main effect of CSP grouping on criminal charges and convictions, $F(2,83) = 3.80, P = 0.027$, $\eta^2 = 0.084$, with no group $\times$ gender interaction ($P=0.14$). Univariate analyses indicated significant effects both for charges ($F(1,84) = 7.32, P = 0.008$, $\eta^2 = 0.080$) and convictions ($F(1,84) = 6.00, P = 0.016$, $\eta^2 = 0.067$).
behaviour through impaired bonding and attachment and a lack of prosocial affiliative behaviour in antisocial personality disorder, both of which have been linked to septal functioning. The lateral septal nuclei (an important oxytocin receptor-binding site) has been implicated in social attachment and bonding behaviours in animals.46 Antisocial psychopathic behaviour has been classically viewed as having its roots in early maternal deprivation during a critical period for bonding and attachment.47,48 Disruption to the septal system could consequently result in a failure to bond to caregivers (even in the absence of maternal deprivation) resulting in affectionless, psychopathic-like, antisocial behaviour. Furthermore, recent imaging research on prosocial behaviour in humans has demonstrated septal activation when making altruistic donations, with number of donations also significantly correlated with increased septal activation.49 The fact that CSP was related to the interpersonal/affective feature of psychopathy together with recent work showing that the emotional detachment factor of psychopathy is related to lack of both early maternal and paternal care50 gives rise to the hypothesis that psychopathy and life-course antisocial personality has a basis in neurodevelopmental abnormality in the limbic system.

The hypothesis that psychopathy and antisocial personality partly reflect fetal neural maldevelopment of the limbic system is not only consistent with cross-sectional findings from prior adult brain imaging studies of aggressive and violent individuals,5,25,26,51 but is also broadly consistent with prospective longitudinal studies on babies, infants and young children showing that prenatal nicotine and alcohol exposure,52,53 prenatal malnutrition54 and early postnatal malnutrition55 are associated with long-term outcomes for antisocial and violent behaviour. All of these negative environmental events affect the developing brain, and some of these have been hypothesised to give rise to the midline limbic maldevelopment that in turn results in CSP.28 These prior studies together with current findings suggest that factors affecting brain development during prenatal and very early postnatal periods may predispose to antisocial and aggressive behaviour. An advance that the current CSP findings add to prior imaging findings lies in the fact that because CSP is formed prior to the first 6 months of life,24 brain maldevelopment precedes the onset of criminal careers and is consequently hypothesised to be less likely a product of psychosocial and lifestyle influences that can be confounds in other adult imaging studies.

It is important to recognise that psychopathy, antisocial personality and criminal offending are not interchangeable conceptually, representing overlapping but distinct constructs. The fact that CSP is related to all of these antisocial constructs suggests a neurodevelopmental basis to a broad spectrum of antisocial behaviours that is shared by these overlapping constructs. This neurodevelopmental basis is not, however, shared with other externalising behaviour problems, including alcohol and substance misuse, because the CSP–psychopathy/antisocial relationship remained significant after controlling for these psychiatric confounds. Factors other than those reflected by CSP must inevitably give rise to the more distinguishing features of psychopathy, antisocial personality disorder and crime. A further caveat is that although CSP is known to be in place by 6 months of age, longitudinal research is required to further test the limbic neural maldevelopment hypothesis of this cross-sectional study. One recent prospective longitudinal study showing that poor fear conditioning (a marker for poor amygdala functioning) at age 3 years predicts crime at age 23 years suggest a neurodevelopmental contribution to crime causation.55 Finally, although one analysis indicated a group x gender interaction indicating that CSP was particularly associated with psychopathy in females, this interaction must be treated with caution because no such interaction

Discussion

Main findings

Individuals with a CSP have significantly higher levels of antisocial personality, psychopathy, criminal charges and convictions compared with those lacking a CSP. The pervasiveness of the association was further demonstrated by the same finding within the clinical control group; those lacking a diagnosis of antisocial personality disorder but who nevertheless were charged with a criminal offence showed a larger CSP than controls lacking both an antisocial personality disorder diagnosis and criminal charges. Findings could not be attributable to trauma exposure, head injury or comorbid psychiatric conditions. This convergence suggests that findings are relatively robust, and that a broad spectrum of antisocial behaviours ranging from psychopathy to antisocial personality disorder to criminal offending is associated with fundamental differences in degree of limbic neural maldevelopment. Findings appear to be the first to provide evidence for a neurodevelopmental brain abnormality in antisocial individuals, and support the hypothesis that early maldevelopment of limbic and septal structures predisposes to antisocial behaviours.

Neurodevelopmental mechanisms

In humans, impairment to the septum has long been hypothesised to result in psychopathic, antisocial and disinhibited behaviour.41 Because septal nuclei are contained in the septum pellucidum, morphological disruption to this structure would impair septal functioning and its regulatory connections to other limbic structures. In animals, the septum is critically involved in the regulation of aggression.42,43 Septal stimulation in a wide range of animals (rats, hamsters, mice, cats and monkeys) inhibits predatory aggression,44 whereas lesions to the septum result in increased aggression and disinhibited behaviour.45,46 In both highly aggressive mice and rats, reduced neural activation of the lateral septum as indicated by reduced c-fos expression results in disinhibition of the anterior hypothalamus and hypothalamic attack area, resulting in enhanced activation of parts of the periaqueductal grey area that in turn enhances attack behaviour.45 Of note, CSP was associated with aggressive features of antisocial personality disorder but not with non-aggressive features, indicating particular relevance of septal disruption to aggression in humans.

Neural maldevelopment of the septum is hypothesised to result in increased antisocial, aggressive and psychopathic
was observed for either psychopathy subfactors or for any other antisocial measure.

**Clinical implications**

In conclusion, the association between cavum septum pellucidum and the spectrum of antisocial behaviours supports a neurodevelopmental hypothesis of antisocial personality disorder and psychopathy. If serious offending is in part neurodevelopmentally determined, successful prevention efforts would be most effective if they began prenatally. One such biosocial prenatal programme that targeted maternal health factors resulted in significant reductions in juvenile delinquency 15 years later.56 This programme, which aimed to reduce smoking and alcohol use during pregnancy, might have protected against CSP and associated limbic maldevelopment because prenatal alcohol use is associated with CSP.57 Similarly, one experimental intervention programme, which aimed to reduce smoking and alcohol use for 15 years by 34%.58 Such preschool programmes would not be expected to ameliorate limbic maldevelopment because physical exercise is known to promote neurogenesis in the dentate gyrus of the hippocampus.59 Clinical, social and educational services that improve prenatal and perinatal health in underserved at-risk mothers may conceivably prevent limbic neurodevelopment, reduce antisocial personality disorder and violence, and partially alleviate this major public health problem. Finally, because it has been argued that CSP is a heritable contribution60 and because approximately 50% of the variance in adult antisocial behaviour is heritable60 (with higher heritability in children with callous-unemotional traits),61 genetic influences on limbic maldevelopment in antisocial personality and psychopathy must also be considered alongside environmental influences.

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**References**


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