Tardive dyskinesia is a syndrome of involuntary movements affecting the face and/or the trunk and extremities, which is seen in 20–25% of patients with schizophrenia.1 Once it appears it commonly becomes irreversible, and it may sometimes be disabling or in rare cases fatal.1 It typically occurs in patients who have received months or years of antipsychotic treatment. According to current estimates, such spontaneous tardive dyskinesia is part of the pattern of deterioration associated with the illness and antipsychotic treatment plays little or no part in its emergence.

Considering tardive dyskinesia simply as a side-effect of antipsychotics may, however, not be entirely justified. This is because essentially similar involuntary movements can also be seen in people with schizophrenia who have not received treatment. According to current estimates, such spontaneous dyskinesias are present in around 4% of patients with first-episode schizophrenia2 and the frequency may reach 40% in the (nowadays rare) populations of people with chronic schizophrenia who have never been exposed to antipsychotics.3,4 To account for this finding, it has been proposed that there is an intrinsic vulnerability to involuntary movements in schizophrenia and the role of antipsychotics is one of promotion or acceleration rather than causation.1,5 More radically, Crow et al.6 have argued that, along with negative symptoms and cognitive impairment, tardive dyskinesia is part of the pattern of deterioration associated with the illness and antipsychotic treatment plays little or no part in its emergence.

Despite its clinical importance, little is known about the biological basis of tardive dyskinesia. The major hypothesis over the years has been that it reflects an increase in dopamine D2 receptor numbers in the basal ganglia.7 This view was originally based on evidence implicating a functional dopamine excess in choreoathetoid movement disorders across a range of neurological disease states,8 and on observations that tardive dyskinesia can be suppressed and exacerbated by dopamine antagonist and agonist drugs respectively.9 However, post-mortem10–12 and in vivo studies using radioligand imaging (for references see Alder et al13) have uniformly failed to demonstrate differences in dopamine D2 receptor numbers in people with schizophrenia with and without tardive dyskinesia.

Another avenue of research in tardive dyskinesia has been to try and determine whether it is associated with structural brain changes. An early computed tomography (CT) study found that individuals with schizophrenia and tardive dyskinesia had larger lateral ventricles than those without,14 but further studies failed to confirm this finding.15 Using MRI, Mion et al.16 found a smaller volume of the caudate nucleus, but not other basal ganglia nuclei, in people with schizophrenia with tardive dyskinesia compared with those without. However, this finding has again not been consistently replicated in subsequent studies.17,18 There are a number of obstacles to detecting brain structural differences between patients with and without tardive dyskinesia. One is that the changes in schizophrenia in comparison to the healthy population are small – for example, the overall reduction of brain volume is about 2%.19 Another is that treatment with antipsychotic drugs has been found to cause volume increases in the basal ganglia,20 which could obscure volume reductions associated with tardive dyskinesia in this region. A final problem is that conventional brain imaging requires the selection of predetermined regions for study, which in the case of tardive dyskinesia has led to a focus on the basal ganglia; other components of the extrapyramidal system, such as the thalamus, substantia nigra, premotor cortex and prefrontal cortex, have not been investigated.

The development of structural imaging methods that map clusters of significant difference throughout the brain between...
groups of participants, without the necessity of a priori selection of regions of interest, has obvious advantages for answering questions about brain changes associated with tardive dyskinesia. In this study we applied one such technique, voxel-based morphometry (VBM), to a group of people with schizophrenia with and without tardive dyskinesia. We also included a healthy control group in order to be able to determine the relationship of any changes found to the brain volume changes associated with schizophrenia itself.

Method

Participants

The patient sample consisted of 81 adult in- and out-patients with schizophrenia (age range 23–63) drawn from two psychiatric hospitals, Benito Menne CASM and Sant Joan de Dèu SSM in Barcelona, Spain. The sample was made up of two subgroups of patients selected on the basis of whether they showed (n = 32) or did not show (n = 49) tardive dyskinesia. All patients met DSM-IV criteria for schizophrenia, based on interview by two psychiatrists plus review of case notes. Patients were excluded if: (a) they were younger than 18 or older than 65 years; (b) they had a history of brain trauma or neurological disease; and (c) they had shown alcohol/substance misuse within the 12 months prior to participation. Patients also needed to have a current IQ in the normal range (i.e. 70+). All patients were right handed. They had shown alcohol/substance misuse within the 12 months prior to participation. Patients also needed to have a current IQ in the normal range (i.e. 70+). All patients were right handed. They met the same exclusion criteria as the patients. They were recruited from non-medical staff working in the hospital, their relatives and acquaintances, and also from independent sources in the community. They were questioned and excluded if they reported a history of mental illness and/or treatment with psychotropic medication. The study was approved by the local research ethics committee and all patients gave written informed consent after a detailed explanation of the study.

Evaluation of motor disorder

Motor disorder was rated in the patients using a standard examination, which was videotaped. Patients were examined while seated, standing and walking, and ‘activation’ procedures designed to elicit involuntary movements were employed (touching the thumb of each hand to each finger in turn, holding the arms out with the wrists flexed, reciting the months of the year backwards). Ratings on Simpson et al’s scale for tardive dyskinesia were made by two raters together (S.S. and E.P.-C.) who had been trained in the assessment of extrapyramidal side-effects. Videos of all patients who showed any evidence of involuntary movements were then reviewed by a third rater (P.J.M.) who had extensive experience in rating tardive dyskinesia; any who only scored on the items: increased blinking, tremor of eyelids, tremor of upper lip, tongue tremor, caressing/rubbing face, hair or thighs, restless legs, crossing/ uncrossing legs or akathisia were not considered as having tardive dyskinesia. Patients without tardive dyskinesia scored no more than 1 (questionable) on any of the dyskinesia items on the Simpson scale.

Other measures

Symptoms were scored using the Spanish version of the Positive and Negative Syndrome Scale (PANSS). Premorbid IQ was estimated using the Word Accentuation Test (Test de Acentuación de Palabras, TAP). A word reading test that requires pronunciation of Spanish words whose accents have been removed. Current IQ was prorated from four subtests of the Wechsler Adult Intelligence Scale III (WAIS-III) (vocabulary, similarities, block design, and matrix reasoning).

MRI data acquisition

All participants underwent structural MRI scanning in the same 1.5 Tesla GE Signa scanner (General Electric Medical Systems, Milwaukee, Wisconsin, USA) located at the Sant Joan de Dèu Hospital in Barcelona (Spain). High resolution structural T1 MRI data were acquired with the following acquisition parameters: matrix size 512 × 512; 180 contiguous axial slices; voxel resolution 0.47 × 0.47 × 1 mm3; echo (TE), repetition (TR) and inversion (TI) times 3.93 ms, 2000 ms and 710 ms respectively; flip angle 15°.

Structural data were analysed with FSL-VBM, an optimised voxel-based morphometry style analysis. This yields a measure of difference in local grey matter volume. In a first step, structural images were brain-extracted using BET. Next, tissue-type segmentation was carried out and the resulting grey matter partial volume images were then aligned to Montreal Neurological Institute (MNI 152) standard space using the FSL tools FLIRT and FNIRT. The resulting images were averaged to create a study-specific template, to which the native grey matter images were then non-linearly re-registered. The registered partial volume images were then modulated (to correct for local expansion or contraction) by dividing by the Jacobian of the warp field. The modulated segmented images were then smoothed with an isotropic Gaussian kernel with a sigma of 4 mm.

Data analysis

Group comparisons were carried out using a voxel-wise general linear model (GLM) and permutation-based non-parametric testing (for more technical details see www.fmrib.ox.ac.uk/fsl/ fslvbm/index.html), correcting for multiple comparisons. These were made with the randomise programme implemented in FSL, using a cluster-based thresholding method with 10 000 iterations and initial cluster-forming threshold Z ≥ 2.3. The GLM was designed to account for the gender-related variability between participants. Clusters were assessed for significance at P < 0.05, fully corrected for multiple comparisons across space. Anatomical locations of the significant clusters were determined by reference to the Harvard–Oxford cortical structural atlas integrated into FSL view (part of FSL) and the AAL atlas of 116 segmented structures within MRICron software (for more details see www.mccauslandcenter.sc.edu/mricro/mricron/index.html).

Results

Demographic features of the patients and controls

The findings are shown in Table 1. The controls were well matched to the whole group of patients (age: t = −1.34, P = 0.18; gender:...
typical dyskinetic movements in more than one body area rated for Tourette syndrome; however, both these patients also showed a presentation dominated by multiple tics consistent with tardive dyskinesia. The sample also included two patients who had a history of orofacial movements (based on a score of ≥2 in any facial region), 10 showed only trunk and/or limb movements (based on a score of ≥2 in any bodily region) and 15 showed both types of movements. The sample also included two patients who had a presentation dominated by multiple tics consistent with tardive Tourette syndrome; however, both these patients also showed typical dyskinetic movements in more than one body area rated for tardive dyskinesia.

χ² = 0.01, P = 0.92; estimated premorbid IQ: τ = 1.52, P = 0.13) and to the patients with and without tardive dyskinesia. The tardive dyskinesia and non-tardive dyskinesia groups were similar in age, but differed in gender distribution (22% vs 31% female), although not significantly. Since frequency of tardive dyskinesia has been found to vary according to gender in some studies, this minor gender difference was covaried for in the comparison of patients with and without tardive dyskinesia. There were no significant differences between the two patient groups in duration of illness, global severity of illness or antipsychotic dosage in chlorpromazine equivalents (although this was numerically higher in the patients with tardive dyskinesia). They also showed similar levels of overall symptomatology as measured using the PANSS; however, the patients with tardive dyskinesia had significantly higher scores on the PANSS disorganisation syndrome (P = 0.03). There were no significant differences between the two patient groups in global assessment of functioning scale, mean (s.d.) 41.70 (11.71) for patients with tardive dyskinesia, 41.98 (10.69) for patients without tardive dyskinesia (P = 0.92).

VBM comparison of the whole group of patients and controls

As this analysis was not a principal objective of the study, the results are only briefly summarised here (see online supplementary material and Fig. DS1 for a more detailed report). There were three large clusters where the patients showed significantly reduced grey matter volume compared with the controls. One of these covered an extensive area of the medial and inferior prefrontal cortex bilaterally, reaching the dorsolateral prefrontal cortex and the pre- and postcentral gyri. This cluster also extended to the bilateral insula, parts of the temporal cortex and the right angular and right inferior and superior parietal cortex. The second cluster was seen bilaterally in the cerebellum. The third was in the middle cingulate gyrus and the supplementary motor area. The patients showed two clusters of significantly greater volume than the controls, in the cerebellar crus and the brain stem.

VBM comparison of patients with and without tardive dyskinesia

This comparison revealed a pattern of largely subcortical volume reduction in the patients with tardive dyskinesia (Fig. 2; a more detailed mapping is shown in online Fig. DS2). Two clusters affected the basal ganglia, particularly the caudate nuclei bilaterally (left side: 1200 voxels, peak at MNI (−16, 16, 4), z-score 4.01; right side: 641 voxels, peak at MNI (14, 12, 2) z-score 4.16). The left-sided cluster additionally extended to both
thalami, and the right-sided cluster extended to the right parahippocampus, temporal pole and inferofrontal cortex. A third cluster of volume reduction affected the left parahippocampus, the amygdala, the left temporal pole and marginally the left inferior frontal cortex (323 voxels, peak at MNI (–18, 8, –22), z-score 3.57). A fourth cluster was seen in the right cerebellum (546 voxels, peak at MNI (14, –68, –32), z-score 3.38). There were no clusters where the patients with tardive dyskinesia showed a significantly greater volume than those without tardive dyskinesia.

Figure 3 shows the localisation of the volume reductions within the basal ganglia and thalamus. It can be seen that the caudate nucleus was most affected, the putamen to a lesser extent, and the globus pallidus only marginally. In both thalami the area affected was located predominantly medially.

Volume changes in the patients with and without tardive dyskinesia compared with the controls

To determine to what extent the regions of brain volume difference identified between patients with and without tardive dyskinesia were different from the corresponding regions in the controls we created a mask that covered all the voxels where significant differences were found between the two patient groups in the VBM comparison. This mask was then used to generate a region of interest (ROI) for grey matter volume in both patient groups and the healthy controls. The findings are shown in Figure 4(a). The controls had larger grey matter volumes than both the patients with and without tardive dyskinesia (controls: 8336 mm$^3$ (s.d. = 706); patients without tardive dyskinesia 7736 mm$^3$ (s.d. = 734); patients with tardive dyskinesia 7144 mm$^3$ (s.d. = 733)). The differences between the controls and the patients without tardive dyskinesia were significant ($t = 4.4$, $P = 3.0 \times 10^{-5}$, effect size: 0.8), as were the differences between the controls and the patients with tardive dyskinesia ($t = 7.3$, $P = 1.2 \times 10^{-10}$, effect size: 1.6). (Significance values for the difference between the patients with and without tardive dyskinesia in this region were not calculated, since this area had already been identified as showing significant differences in the VBM analysis.)

We also investigated volume differences between the patients and controls within the basal ganglia. To do this, an additional mask was created based solely on the basal ganglia components of the original mask (i.e. areas of caudate + putamen + globus pallidus contained in the previous mask for differences between patients with and without tardive dyskinesia). These components were identified using the standard atlases provided by the FSL software.28 Within this new mask, the patients without tardive dyskinesia showed similar but slightly larger volumes compared with the controls, but there continued to be a difference between the controls and the patients with tardive dyskinesia (controls: 2880 mm$^3$ (s.d. = 350); patients without tardive dyskinesia: 2920 mm$^3$ (s.d. = 442); patients with tardive dyskinesia 2704 mm$^3$ (s.d. = 290); Fig. 4(b)). The difference between the controls and the patients without tardive dyskinesia was not significant ($t = 0.6$, $P = 0.6$, effect size: 0.1) but that between the controls and the patients with tardive dyskinesia was significant ($t = 2.3$, $P = 0.03$, effect size: 0.5).

Discussion

Main findings

Using VBM, this study found that compared with people with schizophrenia but without tardive dyskinesia, those with tardive dyskinesia showed reductions in grey matter volume that were predominantly subcortical in distribution and affected particularly the basal ganglia. Within the basal ganglia the changes were localised primarily to the caudate nucleus.

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Fig. 1 Breakdown of scores on the Simpson Scale in patients with tardive dyskinesia.

![Fig. 1](image1.png)

Fig. 2 Voxel-based morphometry findings.

![Fig. 2](image2.png)

Regions showing significant volume reduction between patients with and without tardive dyskinesia. Threshold set at $P = 0.05$ corrected for multiple comparisons across space.
Our findings thus support the CT study of Bartels & Themelis, and the MRI study of Mion et al., which also found evidence of caudate nucleus changes, although as noted in the introduction other studies using conventional brain volumetry have had negative findings. To our knowledge there have been no other voxel-based studies of brain or grey matter volume in patients with and without tardive dyskinesia. However, Bai et al. examined white matter integrity in 40 people with schizophrenia, 20 with tardive dyskinesia and 20 without, using diffusion tensor imaging (DTI) and voxel-based analysis. They found clusters of decreased fractional anisotropy in two areas that were adjacent to the basal ganglia, the white matter of the left inferior frontal gyrus and temporal lobe, although changes were also seen in other regions.

**Significance of findings**

A finding of brain abnormality involving the basal ganglia is what would be predicted on the basis that tardive dyskinesia is an involuntary movement disorder of the extrapyramidal type. However, the extent to which the volume differences affected the caudate nucleus more than the putamen might be considered surprising. Following the work of Alexander et al., it is widely accepted that the basal ganglia are traversed by a series of cortical–subcortical–cortical ‘loops’, which carry both motor and non-motor information. Although these do not divide strictly according to nucleus, it is clear that the motor loop passes predominantly through the putamen. Circuits passing through the caudate nucleus are considered to be involved in higher-level control of behaviour, and lesions here tend to reproduce the apathy, perseverative, executive impairment and emotional changes seen after frontal lobe lesions. Nevertheless, it can be noted that the caudate nucleus has been found to be heavily affected in choreiform disorders such as Huntington’s disease. It may also be relevant that the movements in tardive dyskinesia differ from those seen in other involuntary movement disorders, being more complex, rhythmic and repetitive, particularly when they affect the orofacial region; tics and stereotyped movements are also recognised features of the syndrome.

We also found that the patients with tardive dyskinesia showed volume reductions in the thalamus. Here, the changes were located medially and centrally and therefore almost certainly involved the mediodorsal nucleus. Along with the ventroanterior/ventrolateral (VA–VL) complex, this nucleus forms the final, thalamic relay in the cortical–basal ganglia–cortical loop systems described above. Possibly in keeping with our findings in the basal ganglia, the mediodorsal nucleus receives part of its input from circuits passing through the caudate nucleus, whereas the motor loop passes through the putamen to project to the VA–VL complex, predominantly or exclusively. Analysis of an ROI encompassing the areas where there were differences between the patients with and without tardive dyskinesia showed significant differences from those without tardive dyskinesia in the voxel-based morphometry analysis.
6
dyskinesia found that these regions were smaller in both of the groups of participants than in healthy controls. This suggests that tardive dyskinesia is associated with brain structural changes over and above those occurring in patients with schizophrenia who do not show tardive dyskinesia. However, this pattern did not hold true in the basal ganglia. Here, there was no significant volume difference between the controls and the patients without tardive dyskinesia, although a significant difference between controls and the patients with tardive dyskinesia was still evident. One interpretation of this latter finding is that it reflects a basal ganglia volume increase due to antipsychotic drug treatment. That such treatment-related increases occur is reasonably well-supported by the literature. However, this interpretation also implies that there should be basal ganglia volume reduction in patients with schizophrenia that have not been treated, which has been less consistently found, at least in the small number of studies that have used conventional MRI volume measurement (see references in Chua et al16). Recently, however, Leung et al17 carried out a meta-analysis of six VBM studies of people with first-episode schizophrenia that had never been treated and found evidence for lower grey matter volume in the caudate nucleus bilaterally, as well as in a range of frontal and temporal cortical regions. The volume reductions in the striatum and some other areas were also found to be significantly more extensive than those found in nine studies carried out on treated patients with first-episode schizophrenia.

The patients in our study showed the typical presentation of tardive dyskinesia, i.e. they were chronically ill and in most cases had been on treatment with antipsychotics for several years. It would be interesting to know whether people with schizophrenia with spontaneous dyskinesia also showed brain structural changes. Such patients are not particularly easy to find and so far only two studies have been carried out. McCreadie et al18 studied a sample of 62 people with chronic schizophrenia living in rural India who had never received antipsychotic treatment. Twenty-eight were found to have spontaneous dyskinesia. These patients showed no significant differences in caudate nucleus or lentiform nucleus (putamen and pallidum) volumes on MRI compared with 30 matched patients without dyskinesia. The caudate nucleus was non-significantly smaller in both patient groups than in 31 healthy controls, although the lentiform nucleus was larger (significantly on the left). Mittal et al19 rated involuntary movements in 30 mostly untreated patients with a diagnosis of prodromal syndrome (moderate levels of attenuated positive symptoms and/or decline in functioning in the presence of schizotypal personality disorder and/or family history of schizophrenia). They found a significant negative correlation between dyskinesia score and putamen volume, but there was no correlation with caudate nucleus volume. The results were unchanged when six participants who had received some antipsychotic treatment were excluded.

Implications for aetiology

Does a finding that tardive dyskinesia is associated with brain structural alterations have implications for the issue raised at the beginning of this article, of whether tardive dyskinesia is due to drug treatment, disease process or an interaction between the two? The answer has to be that our findings are essentially neutral on this point. One reason for this is that the study was cross-sectional in nature. Thus, for example, we cannot exclude the possibility that participants with schizophrenia who had smaller basal ganglia (and perhaps other brain structures) before they become ill are at greater risk of developing tardive dyskinesia. At first sight, our finding that tardive dyskinesia-associated volume reductions affected the caudate nucleus and putamen, which receive the bulk of the dopaminergic innervation of the basal ganglia, but spared the globus pallidus, might be considered to implicate antipsychotic treatment, since these drugs work by blocking dopamine receptors. However, this finding is equally consistent with a factor related to the disease process of schizophrenia, given the putative role of dopamine in this.

In conclusion, this study suggests that tardive dyskinesia is the manifestation of a process or processes that involve brain structural change, and is not just a function of neurochemical changes, for example in postsynaptic D3 receptor numbers, as previously hypothesised. At the same time, this finding should not, by itself, be taken to imply that antipsychotic drugs can cause brain volume reductions, as has recently been claimed in animals and patients with schizophrenia.21

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Structural brain changes associated with tardive dyskinesia in schizophrenia
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Supplementary material

Details of the VBM comparison between patients versus controls
Patients showed significant volume reduction compared to controls in three clusters.
One of these was located in the medial prefrontal cortex encompassing the gyrus rectus, the anterior cingulate cortex and parts of medial superior frontal cortex, and extending bilaterally to inferior frontal cortical regions, the dorsolateral prefrontal cortex, and the pre- and postcentral gyri. This cluster also included the insula bilaterally, both temporal poles, the right inferior temporal cortex, the middle and superior temporal cortex bilaterally and the right angular and right inferior and superior parietal cortex. [41554 voxels, peak in BA 11, z score=6.28, MNI (10,36,-14)]. A second cluster was in the bilateral cerebellar hemispheres [6128 voxels, z score=7.17, MNI (-24,-62,-42)]. A third cluster was in the middle cingulate cortex and supplementary motor area [2634 voxels, peak in BA 23/6, z score=5.09 MNI(-6,-12,48)].

The patients showed significantly larger volumes than the controls in two clusters. One was in the cerebellum, also reaching the occipital lobe cortex, lingual gyrus and fusiform gyrus [2585 voxels, z score=3.57 MNI (-20,-88,-22)]. The second was in the brainstem and midbrain and also affected parts of the cerebellum [3833 voxels, z score=6.32, MNI (2,-38,-44)].
**Fig. DS1** Map of clusters of significant difference in the VBM comparison between the controls (N=61) and the whole group of patients with schizophrenia (N=81). Clusters were significant at p<0.05, corrected for multiple comparisons across space. Red indicates smaller volume in the patients, blue indicates greater volume in the patients.
**Fig. DS2** More detailed map of clusters of significant VBM difference between patients with TD (N=32) and without TD (N=49). Clusters were significant at p<0.05, corrected for multiple comparisons across space.
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