

# Ketamine as the anaesthetic for electroconvulsive therapy: the KANECT randomised controlled trial

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## Background

Ketamine has recently become an agent of interest as an acute treatment for severe depression and as the anaesthetic for electroconvulsive therapy (ECT). Subanaesthetic doses result in an acute reduction in depression severity while evidence is equivocal for this antidepressant effect with anaesthetic or adjuvant doses. Recent systematic reviews call for high-quality evidence from further randomised controlled trials (RCTs).

## Aims

To establish if ketamine as the anaesthetic for ECT results in fewer ECT treatments, improvements in depression severity ratings and less memory impairment than the standard anaesthetic.

## Method

Double-blind, parallel-design, RCT of intravenous ketamine (up to 2 mg/kg) with an active comparator, intravenous propofol (up to 2.5 mg/kg), as the anaesthetic for ECT in patients receiving ECT for major depression on an informal basis. (Trial registration: European Clinical Trials Database (EudraCT): 2011-000396-14 and clinicalTrials.gov: NCT01306760.)

## Results

No significant differences were found on any outcome measure during, at the end of or 1 month following the ECT course.

## Conclusions

Ketamine as an anaesthetic does not enhance the efficacy of ECT.

## Declaration of interest

C.A.S. reports grants from Vifor Pharma, outside the submitted work. I.C.R. (deceased) declared personal fees from AstraZeneca, Sanofi Aventis and Sunovion, and non-financial support from Lundbeck, between 2009 and 2014 and all outside the submitted work.

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Depression is among the leading causes of disability worldwide<sup>1</sup> which in its severe forms can be life threatening. Severely depressed patients, or those who fail to respond to chemical treatment, are commonly treated with electroconvulsive therapy (ECT). ECT is highly effective and undoubtedly saves lives, but a range of factors, including side-effect profile, the necessity for hospital care and stigma, restricts its use.<sup>2</sup> Mitigating these factors may improve the acceptability of ECT as a treatment. Ketamine is a candidate mitigation agent because of renewed interest in it as an acute treatment for severe depression<sup>3–6</sup> and as an anaesthetic during ECT (see for example McGirr *et al.*,<sup>6,7</sup> Caddy *et al.*,<sup>8</sup> Fond *et al.*,<sup>9</sup> Coyle & Laws,<sup>10</sup> and Lee *et al.*<sup>11</sup>). McGirr *et al.*<sup>7</sup> pooled the results of many anaesthetic and adjunct ketamine studies and concluded that ketamine anaesthesia in ECT leads to a therapeutic improvement. Unfortunately, many variations in methodology including in electrode placement, seizure threshold, limiting the number of treatments and depression rating scales used, limit the generalisability of the conclusions that can be drawn from the work published to date. A recent Cochrane review<sup>8</sup> concluded that higher-quality randomised controlled trials (RCTs) investigating ketamine as a treatment for depression were needed. Ketamine anaesthesia during ECT may also have additional or independent neuroprotective effects against deficits in cognitive function associated with ECT.<sup>12,13</sup> Ketamine is a non-competitive *N*-methyl-D-aspartate (NMDA) receptor antagonist and activation of NMDA receptors is required for the induction of a form of synaptic plasticity called long-term potentiation (LTP),<sup>14</sup> a potential mechanism underlying memory

formation.<sup>15</sup> Previous studies in rodents have shown that the use of ketamine anaesthesia during electroconvulsive stimulation can prevent LTP-like changes in the hippocampus.<sup>16</sup> Consistent with the cognition-sparing hypothesis is the evidence that using ketamine as the anaesthetic in patients receiving ECT resulted in faster re-orientation time compared with methohexital,<sup>17</sup> and also protected word recall after six treatments compared with etomidate.<sup>18</sup>

Here we present the results of the KANECT trial ('The use of ketamine as an anaesthetic during electroconvulsive therapy (ECT) for depression: does it improve treatment outcome?', trial registration: European Clinical Trials Database (EudraCT): 2011-000396-14 and clinicalTrials.gov: NCT01306760.). KANECT was a double-blind, parallel-design, RCT of ketamine with an active comparator, propofol (used in 88.2% of ECT treatments in Scotland<sup>19</sup>), as the anaesthetic for ECT with follow-up intervals during, immediately after and 1 month after ECT. The primary objective was to determine if using ketamine instead of propofol resulted in fewer ECT treatments and quicker improvements in ratings of depression severity. The secondary objective was to determine if using ketamine resulted in less cognitive impairment following ECT.

## Method

### Trial design

KANECT was a double-blind, parallel-design, randomised controlled trial of ketamine with an active comparator, propofol, as the anaesthetic for ECT. Once consent had been obtained baseline assessments were made before patients were randomised

\*Deceased.

to one of the two anaesthetics using minimisation. The minimisation variables were gender and age. No random element was employed so Taves<sup>20</sup> method with  $P=1$  was used. Treatment allocation was 1:1 ketamine:propofol. Randomisation was performed over the internet or telephone using an independent randomisation service (CHaRT, University of Aberdeen) by the principal investigator (I.C.R.) or ECT nurses and recorded in medical notes as drug A or B. An unblinding procedure was specified should the medical need arise. All post-ECT assessments were conducted by researchers masked to the anaesthetic assignment.

Recruited patients received ECT as per the routine processes at the Royal Cornhill Hospital until their consultant psychiatrist (masked to anaesthetic assignment) decided to end treatment or treatment was stopped for medical reasons. KANECT was given ethical approval by the East of Scotland Research Ethics Service (ref: 11/AL/0221) and approved by the Medicine and Healthcare products Regulatory Agency (ref: 21583/0211/001-0001).

## Participants

Patients were eligible to participate if they were: receiving ECT on an informal basis (i.e. not detained), considered fit by an anaesthetist (American Society of Anaesthesiologists physical status classification system score of 1 or 2), had no comorbid psychiatric diagnoses recorded by the treating psychiatrist and were between the ages of 18 and 75. Patients were excluded if they had pre-existing neurological disease or cognitive impairment, pre-existing severe hypertension, severe respiratory tract disease, major cardiovascular disease, a pacemaker, significant cerebrovascular disorder or malformation, intracranial mass lesions, seizure disorder, intracranial electrode and clips, severe intra-ocular pathology, significant endocrine or metabolic disease, severe haematological disease, severe fracture, obesity, pregnancy. Patients were receiving ECT for major depression on an informal basis at the Royal Cornhill Hospital, Aberdeen, Scotland between November 2011 and December 2013. The final assessment of the final patient was completed in February 2014. The ethnicity of all patients was White British. All participants provided informed consent.

## Interventions

Ketamine (Ketalar, manufactured by Pfizer), up to 2 mg/kg, intravenous (bolus) or propofol (Diprivan 1% manufactured by AstraZeneca), up to 2.5 mg/kg, intravenous (bolus) as the anaesthetic used for ECT. No restrictions were placed on the psychiatric medications and or treatments prescribed either before or during the course of the trial. The same applied for any rescue medications. The standard ECT protocol set by the Royal Cornhill Hospital and accredited by the Scottish Electroconvulsive Accreditation Network was applied to all participants. Bilateral ECT (bifrontotemporal position) was given twice per week. ECT was administered using a brief pulse constant current apparatus (Thymatron DGx). Any prescribed benzodiazepines were withheld 24 h before treatment so as to not interfere with ECT. On arrival at the treatment room patients had baseline heart rate, non-invasive blood pressure and oxygen saturation (SpO<sub>2</sub>) measured and the left arm was isolated with a pressure cuff. An intravenous cannula was inserted in the non-isolated arm. The patients in the ketamine group were administered a hypnotic dose of ketamine of up to 2 mg/kg followed by limb isolation and subsequent administration of the muscle relaxant suxamethonium (0.5–1 mg/kg). Those in the propofol group were administered a hypnotic dose of propofol up to 2.5 mg/kg followed by limb isolation and administration of suxamethonium (0.5–1 mg/kg). Heart rate,

three-lead electrocardiogram (ECG), SpO<sub>2</sub>, fractional inspired oxygen (FiO<sub>2</sub>), and end-tidal carbon dioxide (EtCO<sub>2</sub>) were monitored continuously during the procedure. All participants received positive pressure ventilation with 100% oxygen during the procedure until spontaneous respiration resumed. Non-invasive blood pressure was measured before the administration of the anaesthetic, immediately post-seizure and repeated if necessary. In the recovery room patients received oxygen-enriched air via a facemask, while non-invasive blood pressure and SpO<sub>2</sub> were monitored.

Seizure threshold was defined as the minimum dose required to produce a tonic-clonic seizure of 15 s or longer (measured by electroencephalogram (EEG)). A titration method (starting at 50 millicoulombs (mc), 100 mc, 175 mc, then increased by 50 mc) was used to determine this seizure threshold and once established the treating dose was given at twice the threshold. No seizure or one less than 15 s increased dosage by 50 mc.

## Outcomes

The primary outcome was patients' illness severity as assessed using the 17-item Hamilton Rating Scale for Depression (HRSD)<sup>21</sup> and the Montgomery-Åsberg Depression Rating Scale (MADRS).<sup>22</sup> Both are commonly used ratings scales. The MADRS is recommended by the Scottish ECT Accreditation Network and thus is used across Scotland. The secondary outcome, patients' cognitive function, was also assessed before ECT using the Cambridge Automated Neuropsychological Test Battery Spatial Recognition Memory task (CANTAB SRM).<sup>23</sup> Our research group have found that the CANTAB SRM is sensitive to memory deficits following ECT.<sup>24,25</sup>

Patients were assessed before the first ECT treatment (within 2–48 h), after the fourth treatment (within 24–48 h), at the end of treatment (within 24–48 h) and 1 month after the end of treatment by researchers masked to the anaesthetic employed. The pre-ECT, post-fourth (ECT4) and post-final ECT assessments were generally conducted in a quiet room in the hospital. The 1-month post-ECT assessments were completed in a quiet room in the hospital or the patient's home. Each assessment took approximately 35 min. Patients, their treating medical teams and all researchers making assessments were masked to the anaesthetic allocation. Researchers conducting ratings were trained in the administration of all measures.

## Sample size

The sample size of 40 patients was based on a similarly sized sample<sup>26</sup> where a large measurable reduction in HRSD scores was found after four ECT treatments following ketamine *v.* propofol anaesthesia. KANECT ended when the sample size was achieved.

## Changes to methods after trial commencement

Several issues arose prior to completing the analysis that resulted in a change to the original analysis plan published in the trial's protocol. First, for four patients who had four or fewer ECT treatments the second assessment (post-ECT4) did not exist as this was their post-final ECT assessment, meaning that either these patients could not be included in an analysis using all four assessments or the analyses could not examine all four assessments for all patients. The trial protocol stated that the primary outcome would be assessed by examining the change in depression ratings over the course of treatment and after treatment. To this end, two sets of analyses were employed. The first set employed analysis of covariance (ANCOVA) to examine change over the acute stage of

treatment using all available data ( $n=35$ ) for the pre-ECT and post-ECT4 assessments (including data from the four patients who had four or fewer ECT treatments). The second set examined change after treatment, comparing pre-ECT with post-final ECT and the 1-month follow-up, and dropping the post-ECT4 assessment. Here, data from the four patients who had four or fewer ECT treatments were included at the post-final ECT assessment.

A second issue for the second analysis set was that loss to follow-up meant that data were not available from all patients at all points (see Missing data). This meant that employing ANCOVAs to analyse change across time, as specified in the trial protocol, was not appropriate because ANCOVAs delete data list-wise, meaning any participant with missing data would be excluded. This would result in an underpowered and unrepresentative analysis. Instead, mixed models analyses were employed. These analyses have a number of advantages over ANCOVA in that they use all available data and handle missing data appropriately. Mixed models also account for correlation between repeated measurements on the same participant, and allow for the addition of the covariates used in the stratification randomisation procedure. Thus, mixed models can be thought of as examining the same thing as ANCOVA but using all available data. Our group have previously employed these analyses with similar data.<sup>24,25</sup>

### Statistical analyses

All analyses were computed in SPSS 22.0 and conducted by a researcher masked to the group assignment (G.F.). An independent samples  $t$ -test was used to analyse whether there was a difference in the number of ECT treatments administered between groups.

Analyses were conducted for both depressive rating measures (HRSD and MADRS) and changes in cognitive function (CANTAB SRM performance). All analyses were run with anaesthetic and time as fixed factors and anaesthetic  $\times$  time as an interaction term. Age and gender were included as covariates.

In an acute effects analysis, analysis of covariance (ANCOVA) compared outcomes pre-ECT with post-ECT4. Partial eta-squared ( $\eta^2_p$ ) provided an estimate of effect size with 95% confidence intervals listed with the ketamine group reported first; and in interactions with time, the first reported confidence interval is the earliest time point. In a treatment effects analysis, linear mixed models compared outcomes assessed pre-ECT with those at post-final ECT and 1-month assessments. In each mixed model a compound symmetry covariance matrix<sup>27</sup> was compared with a first-order autoregressive and an unstructured covariance matrix. Model fit was assessed using Akaike's Information Criterion (AIC) and the better fitting model (smallest AIC) reported. In each case this was the model with the compound symmetry covariance matrix. Estimation proceeded using restricted maximum likelihood to a maximum of 100 iterations. Residuals were examined following model fit for non-normality using Q-Q plots. All models were normal.

### Missing data

Data were analysed if patients completed any follow-up assessment following pre-ECT assessment ( $n=35$ ). Data remained in the trial for analysis where appropriate despite subsequent loss to follow-up. As shown in Fig. 1, additional data were missing post-ECT4 for one patient who refused to complete the CANTAB SRM. Post-final ECT, one patient was discharged home before an assessment could be completed and a visit could not be scheduled within 2 days. A second patient was discharged home but the post-final ECT assessment was completed by telephone. CANTAB

SRM data are thus missing for this patient. As four patients had four ECT treatments or fewer their data could be counted as post-ECT4 or post-final ECT, but not included as both in the same analysis. This necessitated two sets of analyses as elaborated upon above.

## Results

### Recruitment

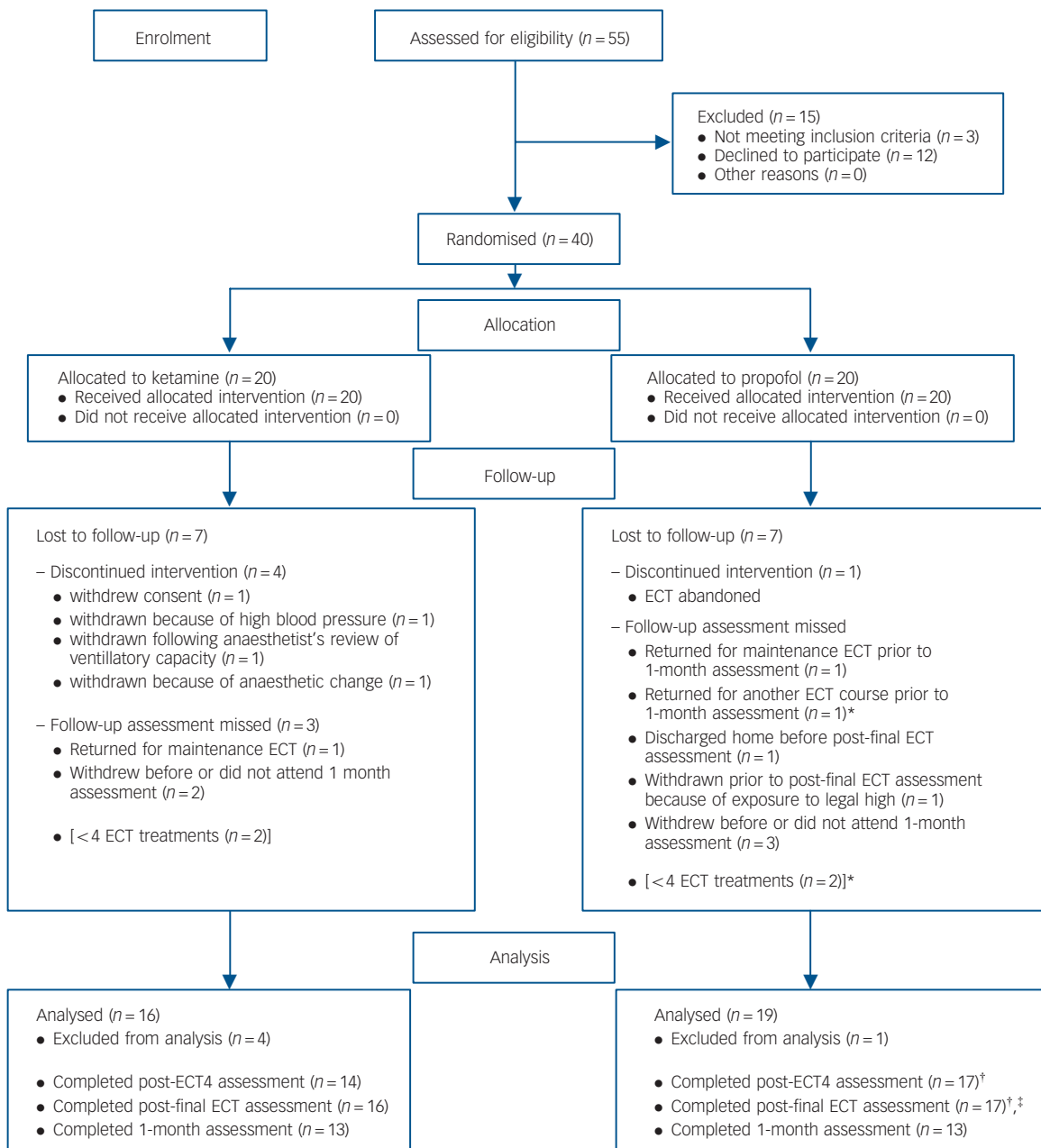
In total, 55 patients were screened eligible to participate in KANECT. Of these, 52 were approached to participate and 40 consented, of whom 22 were female. As patients were randomly assigned to receive ketamine or propofol stratified by gender and age ( $\leq 65$  and  $> 65$ ), baseline demographic characteristics of each group were not statistically significant. Both groups contained equal numbers of males ( $n=9$ ) and females ( $n=11$ ). Mean age was 51.76 (s.d. = 9.97) and 49.88 (s.d. = 12.53) in the ketamine and propofol groups respectively. Baseline depression ratings and CANTAB performance are displayed in Table 1.

Over the course of the study 14 patients were lost to follow-up or withdrew. One patient withdrew before ECT was completed, four were withdrawn either because of ECT being abandoned or medical decisions to change the anaesthetic. One patient was withdrawn from the trial following the end of ECT but before any post-final ECT assessment because of their exposure to 'legal high' substances. A further two patients withdrew from the trial prior to the 1-month assessment, and three more did not respond to attempts to arrange the 1-month assessment. One patient returned for a further course of ECT, and two others were prescribed maintenance ECT before the 1-month assessment. Fig. 1 shows these withdrawals. The five patients (four from the ketamine group) who withdrew or were withdrawn after commencement of ECT but before a follow-up assessment were significantly older,  $t(38) = -2.11$ ,  $P < 0.05$  ( $\bar{x} = 60.32$ ) than those who continued ( $\bar{x} = 49.46$ ) but there was no significant difference in their HRSD ( $\bar{x} = 21.6$  v. 25.91), MADRS ( $\bar{x} = 31.0$  v. 36.0) or CANTAB SRM ( $\bar{x} = 0.68$  v. 0.72) scores. Data from these patients were not examined further. The number of ECT treatments received by patients in each group (ketamine:  $\bar{x} = 7.88$ , s.d. = 3.18; propofol:  $\bar{x} = 7.26$ , s.d. = 2.23) was compared using an independent samples  $t$ -test. No significant difference was found,  $t_{(33)} < 1.0$ ,  $P > 0.05$ ,  $d = 0.23$ .

### Acute effects analysis (between pre-ECT and post-ECT4)

For the HRSD, the ANCOVA found no main effect of anaesthetic ( $F_{(1,31)} = 2.93$ , mean square error (MSE) = 25.10,  $P > 0.05$ ,  $\eta^2_p = 0.09$ , ketamine: 95% CI 19.60–24.83, propofol: 95% CI 16.80–21.58), a main effect of time ( $F_{(1,31)} = 12.77$ , MSE = 46.97,  $P < 0.01$ ,  $\eta^2_p = 0.29$ , pre-ECT: 95% CI 23.29–28.60, post-ECT4: 95% CI 13.31–17.60) where pre-ECT scores were higher than post-ECT4 scores, and no interaction between anaesthetic and time ( $F_{(1,31)} < 1.0$ ,  $\eta^2_p = 0.03$ , pre-ECT, ketamine: 95% CI 22.63–30.63, propofol: 95% CI 21.60–28.91; post-ECT4, ketamine: 95% CI 14.57–21.04, propofol: 95% CI 10.16–16.07). No interaction was found between time and gender ( $F_{(1,31)} < 1.0$ ) but an interaction between time and age group was found ( $F_{(1,31)} = 4.53$ , MSE = 46.97,  $P < 0.05$ ). Examination of mean scores showed that HRSD scores decreased over time for younger adults ( $\bar{x} = 26.50$ –14.91;  $n = 32$ ) but not for older adults ( $\bar{x} = 19.33$ –19.0;  $n = 3$ ). Removing the covariates, as advocated by Gilmore<sup>28</sup> and IBM,<sup>29</sup> resulted in a larger main effect of time ( $F_{(1,33)} = 38.40$ , MSE = 50.58,  $P < 0.01$ ).

The MADRS ANCOVA found no main effect of anaesthetic ( $F_{(1,31)} = 1.42$ , MSE = 50.73,  $P > 0.05$ ,  $\eta^2_p = 0.04$ , ketamine: 95%



**Fig. 1** CONSORT flow diagram showing patient eligibility and inclusion in the trial.

\*One patient is the same patient; <sup>†</sup>Patient refused Cambridge Automated Neuropsychological Test Battery Spatial Recognition Memory task (CANTAB SRM) ( $n=1$ ); <sup>‡</sup>Completed over telephone so no CANTAB ( $n=1$ ). ECT, electroconvulsive therapy.

CI 26.44–33.87, propofol: 95% CI 23.76–30.54), a main effect of time ( $F_{(1,31)} = 10.40$ ,  $MSE = 76.12$ ,  $P < 0.01$ ,  $\eta^2_p = 0.25$ , pre-ECT: 95% CI 33.12–38.87, post-ECT4: 95% CI 17.71–24.93) with pre-ECT scores higher than post-ECT4 scores, and no interaction between anaesthetic and time ( $F_{(1,31)} = 2.05$ ,  $P > 0.05$ ,  $\eta^2_p = 0.06$ , pre-ECT, ketamine: 95% CI 31.60–40.26, propofol: 95% CI 32.10–40.02; post-ECT4, ketamine: 95% CI 18.95–29.82, propofol: 95% CI 13.29–23.23). There were no interactions between time and gender ( $F_{(1,31)} < 1.0$ ) or time and age ( $F_{(1,31)} = 2.08$ ,  $P_s > 0.05$ ). Removing the covariates again resulted in a larger within-participants effect of time ( $F_{(1,33)} = 48.78$ ,  $MSE = 77.53$ ).

The ANCOVA examining mean CANTAB SRM proportion correct from all patients for whom there was data pre-ECT and post-ECT4 ( $n=34$ ) found no main effects of anaesthetic ( $F_{(1,30)} < 1.0$ ,  $MSE = 0.01$ ,  $\eta^2_p = 0.03$ , ketamine: 95% CI 0.61–0.70, propofol: 95% CI 0.64–0.72) or time ( $F_{(1,30)} < 1.0$ ,  $MSE < 0.02$ ,

$\eta^2_p = 0.02$ , pre-ECT: 95% CI 0.68–0.77, post-ECT4: 95% CI 0.57–0.65), nor was there an interaction between anaesthetic and time ( $F_{(1,30)} < 1.0$ ,  $\eta^2_p < 0.01$ , pre-ECT, ketamine: 95% CI 0.65–0.78, propofol: 95% CI 0.67–0.80; post-ECT4, ketamine: 95% CI 0.54–0.66, propofol: 95% CI 0.57–0.68). There were no interactions between time and gender or time and age (both  $F_{S(1,30)} < 1.0$ ). Removing the covariates resulted in a significant within-participants effect of time ( $F_{(1,32)} = 14.54$ ,  $MSE < 0.02$ ,  $P < 0.01$ ): post-ECT4 scores were lower.

#### Treatment effects analysis (between pre-ECT, post-final ECT and 1-month post-ECT)

Linear mixed models examined group differences in depressive symptoms or cognitive function over time (pre-ECT, post-final ECT and at the 1-month follow-up). These data are displayed in



**Table 1** Means scores on each dependent measure by group (standard deviation).

|   | Mean (s.d.)  |               |                |                  |
|---|--------------|---------------|----------------|------------------|
|   | Pre-ECT      | Post-ECT4     | Post-final ECT | 1 month post-ECT |
| Hamilton Rating Scale for Depression  |              |               |                |                  |
| Ketamine  | 27.19 (6.47) | 17.25 (6.88)  | 13.50 (9.32)   | 14.08 (8.08)     |
| Propofol  | 24.79 (8.50) | 13.58 (5.71)  | 8.41 (4.70)    | 12.08 (9.86)     |
| Montgomery-Åsberg Depression Rating Scale   |              |               |                |                  |
| Ketamine  | 36.38 (8.29) | 23.81 (11.20) | 18.69 (16.48)  | 17.85 (13.15)    |
| Propofol  | 35.68 (8.39) | 18.74 (9.44)  | 8.18 (6.27)    | 17.15 (13.75)    |
| Cambridge Automated Neuropsychological Test Battery Spatial Recognition Memory task |              |               |                |                  |
| Ketamine  | 0.71 (0.11)  | 0.60 (0.12)   | 0.60 (0.16)    | 0.70 (0.11)      |
| Propofol  | 0.72 (0.15)  | 0.63 (0.10)   | 0.64 (0.11)    | 0.65 (0.12)      |

ECT, electroconvulsive therapy.

Fig. 2. For HRSD ratings, there was no significant effect of anaesthetic ( $F_{(1)} = 2.54$ ,  $P > 0.05$ ) but a significant effect of time was found ( $F_{(2)} = 46.92$ ,  $P < 0.01$ ). Across patients HRSD scores were reduced following ECT. The interaction between factors was not significant ( $F_{(2)} < 1.0$ ,  $P > 0.05$ ). For MADRS ratings, there was also no significant effect of anaesthetic ( $F_{(1)} = 1.45$ ,  $P > 0.05$ ) and a significant effect of time ( $F_{(2)} = 55.03$ ,  $P < 0.01$ ). Across patients MADRS scores were reduced following ECT. The interaction between factors was not significant ( $F_{(2)} = 2.92$ ,  $P = 0.06$ ) but Fig. 2(b) shows this almost significant interaction is because of the steeper reduction in MADRS scores post-final ECT in the propofol group. On the CANTAB SRM, there was no significant effect of anaesthetic ( $F_{(1)} < 1.0$ ,  $P > 0.05$ ) but a significant effect of time was found ( $F_{(2)} = 5.84$ ,  $P < 0.01$ ). Pairwise comparisons revealed that post-final ECT CANTAB SRM proportion correct was lower than the pre-ECT baseline. The interaction between factors was not significant ( $F_{(2)} < 1.0$ ,  $P > 0.05$ ).

## Harms

One serious adverse event (prolonged hospital admission) was recorded in the propofol group when a participant developed an elevated mood following their ECT course. No adverse events related to exposure to ketamine occurred. It is also worth noting anecdotally that the psychotomimetic effects of ketamine were absent in our sample.

## Discussion

### Main findings and comparison with findings from other studies

Contrary to our hypotheses, when ketamine was used as the anaesthetic for ECT there was no significant difference in the number of ECT treatments, ratings of depression severity or cognitive function when compared with propofol. Indeed, although patients in both groups improved with treatment, examination of mean depression ratings on both measures actually show higher, albeit not significantly different, scores in the ketamine group.

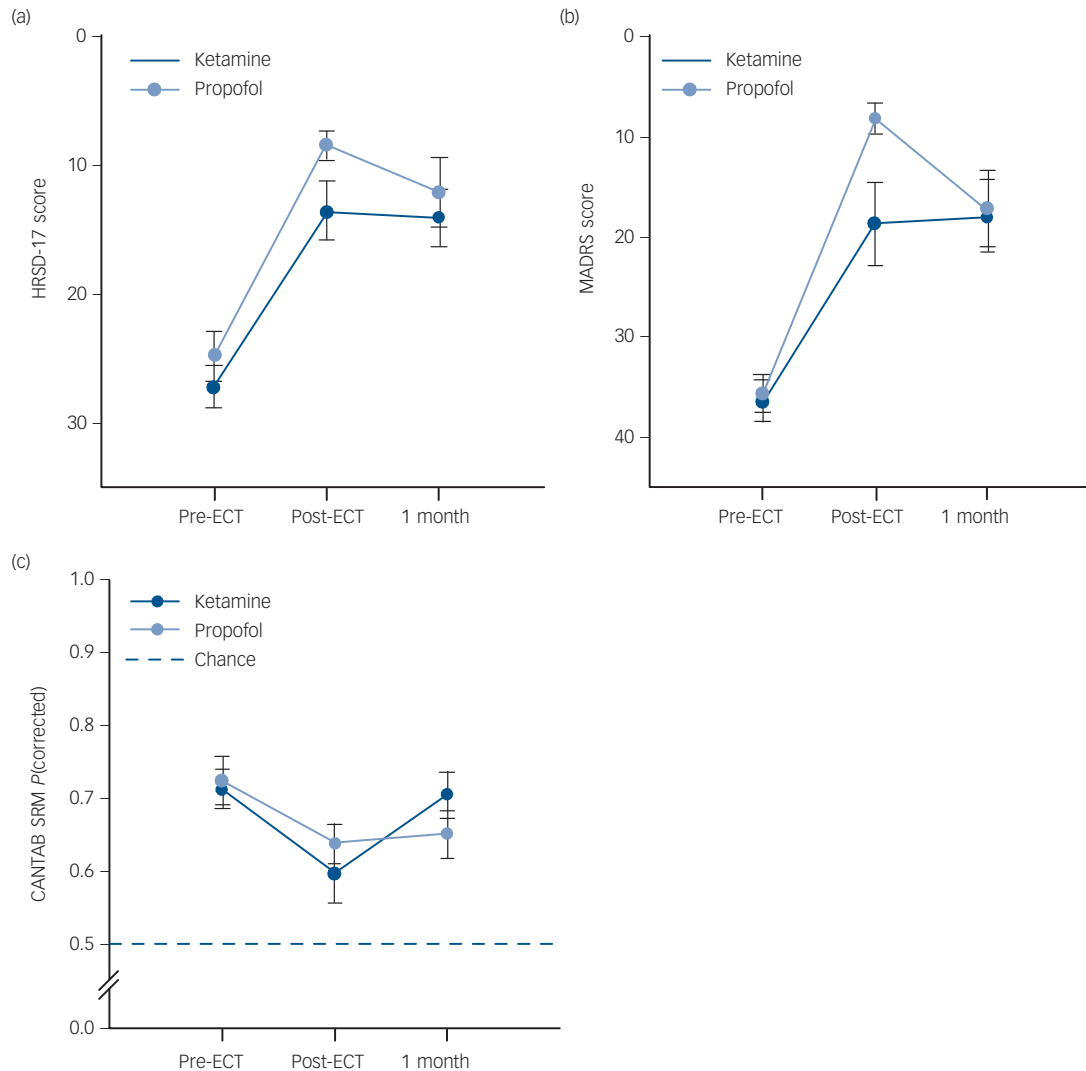
These results join recent evidence<sup>7</sup> that suggests ketamine's antidepressant effect does not emerge when it is used as the anaesthetic in ECT, despite promising prospects in other contexts on an acute timescale (for example Zarate *et al.*,<sup>3</sup> Berman *et al.*,<sup>5</sup> and Kudoh *et al.*<sup>30</sup>). Yoosefi *et al.*<sup>31</sup> have recently reported a randomised, double-blind clinical trial comparing ketamine and thiopental as the anaesthetics for ECT. Patients in both groups received six ECT treatments (by design). Although patients who were administered ketamine had lower scores on the HRSD following the first ECT and before the second, there was no between-group difference in depression ratings at the end of

treatment or 1 month after. Similarly, Rasmussen *et al.*<sup>32</sup> compared ketamine with methohexital but did not find any significant differences in depression ratings between the two anaesthetics. Consistently, when ketamine is used in addition to thiopentone anaesthetic no differences in depressive symptoms after the initial and sixth ECT,<sup>33</sup> nor at the end of treatment,<sup>34</sup> have been found.

The KANECT study found no significant difference between ketamine and propofol on a cognitive measure previously shown to detect post-ECT impairments. Again, other recent studies support this finding. Loo *et al.*<sup>34</sup> reported no difference in a large number of neuropsychological outcomes, whereas Rasmussen *et al.*<sup>32</sup> and Yoosefi *et al.*<sup>31</sup> report conflicting results with the Mini-Mental State Examination (MMSE).<sup>35</sup> Rasmussen *et al.* found no differences whereas Yoosefi *et al.* found an improvement 1 month after the final ECT only in the ketamine group. These differences may reflect the insensitivity of the MMSE to specific cognitive deficits, and its susceptibility to changes based on patient orientation.

This trial was adequately powered to detect a difference between anaesthetic groups should it have occurred. The sample size ( $n = 40$ ) was based on Okamoto *et al.*<sup>26</sup> where a large group difference in symptom reduction after the fourth treatment was found. They found a large effect based on an average 24-point drop in HRSD scores in the ketamine group ( $n = 8$ ) *v.* a 14-point drop in the propofol group ( $n = 1$ ). KANECT's power calculation was based on these results determining that given a large effect size 20 patients per group would be needed (two-tailed, power of 90%, critical  $t$  of 2.02). We achieved the same sample size as Okamoto *et al.*<sup>26</sup> but the drop in mean HRSD scores was equivalent between groups (ketamine 9.94, propofol 11.21). The equivalent critical  $t$ -value was also smaller: 1.71 ( $F_{(1,31)} = 2.93$ , where  $t = \sqrt{F}$ ). Crucially, there were methodological and statistical improvements in KANECT that may explain this difference. Okamoto *et al.* randomised on the basis of patient preference and used repeated  $t$ -tests to compare scores after each ECT. In KANECT, patients and raters were masked to anaesthetic allocation, randomisation was minimised by gender and age (decreasing the degrees of freedom), and type-I error inflation was controlled appropriately. For these reasons, it is doubtful that a group difference would have been found should a larger sample been recruited.

Okamoto *et al.*<sup>26</sup> kept the number of ECTs constant between groups. This was a dependent variable in the current trial and fewer ECTs were administered in both groups although the numbers were broadly equivalent (8 in Okamoto *et al.* *v.* 7.88 and 7.26 for ketamine and propofol, respectively here). This trial found no difference in the number of ECT treatments between anaesthetic groups and although this mirrored the depression ratings results, one potential source of bias for this measure was that the number of treatments was determined by the prescribing



**Fig. 2** Mean scores on the dependent measures across time (pre-electroconvulsive therapy (ECT), post-final ECT and 1-month post-ECT) by anaesthetic group (treatment effects analysis).

(a) Group differences in Hamilton Rating Scale for Depression (HRSD) ratings across follow-up, (b) group differences in Montgomery-Åsberg Depression Rating Scale (MADRS) ratings across follow-up, (c) group differences in Cambridge Automated Neuropsychological Test Battery Spatial Recognition Memory task (CANTAB SRM) scores across follow-up. Error bars are the standard error of the mean.

clinician rather than the study protocol. Although there is no reason to doubt that depression severity was the primary reason to continue ECT, and the prescribing clinician was masked to anaesthetic allocation, it is possible that other unknown factors had an influence.

Although no evidence of an enhanced antidepressive effect of ketamine was found, it was well-tolerated for ECT. No adverse events related to exposure to ketamine occurred. It is also worth noting anecdotally that the psychotomimetic effects of ketamine were absent in our participants. However, Caddy *et al*<sup>8</sup> reported that ketamine caused more confusion and emotional blunting compared with placebo, with the former conclusion also reached by McGirr *et al*.<sup>7</sup>

### Limitations

Our results are limited by a number of factors. First, because of patient attrition we were unable to compare treatment effects at all follow-ups for our entire sample meaning the analysis lost some power. Our use of linear mixed models goes some way to mitigate this limitation as such models use all available data and

appropriately account for within-participant correlations in measurements. Second, although three of the four patients whose participation was discontinued for medical reasons were in the ketamine group, these withdrawals were made for medical reasons rather than as a result of anaesthetic assignment. Third, a feature of our trial design was that the decision on management of the ECT course was taken by the patients' treating clinicians, who were masked to anaesthetic assignment. Therefore, treatment failures (new ECT course or maintenance ECT prior to 1-month follow-up,  $n=3$ ) were outside our control and we are confident are independent of anaesthetic assignment. Fourth, we placed no restrictions on concomitant medication prescribing during the course of the trial except that benzodiazepines were withdrawn prior to ECT. Although it is plausible that other medication may have affected our results, randomisation means that these should be similarly distributed between our groups.

In conclusion, the results of this double-blind, parallel-design, RCT demonstrate that ketamine as an anaesthetic for ECT does not enhance the efficacy of ECT. Although patients in both groups improved with treatment, neither of the primary outcomes of the number of ECT treatments received nor the change in depression

symptom severity showed any differences between anaesthetics. Nor was a difference found in the degree of impairment on the cognitive measure we employed.

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# Online Supplement DS1

## CONSORT 2010 checklist of information to include when reporting a randomised trial\*

| Section/Topic                    | Item No | Checklist item  | Reported on page No |
|----------------------------------|---------|---|---------------------|
| <b>Title and abstract</b>        |         |   |                     |
|                                  | 1a      | Identification as a randomised trial in the title   | 1                   |
|                                  | 1b      | Structured summary of trial design, methods, results, and conclusions (for specific guidance see CONSORT for abstracts)   | 1                   |
| <b>Introduction</b>              |         |   |                     |
| Background and objectives        | 2a      | Scientific background and explanation of rationale  | 1                   |
|                                  | 2b      | Specific objectives or hypotheses   | 1                   |
| <b>Methods</b>                   |         |   |                     |
| Trial design                     | 3a      | Description of trial design (such as parallel, factorial) including allocation ratio  | 1                   |
|                                  | 3b      | Important changes to methods after trial commencement (such as eligibility criteria), with reasons  | 2-3                 |
| Participants                     | 4a      | Eligibility criteria for participants   | 2                   |
|                                  | 4b      | Settings and locations where the data were collected  | 2                   |
| Interventions                    | 5       | The interventions for each group with sufficient details to allow replication, including how and when they were actually administered   | 2                   |
| Outcomes                         | 6a      | Completely defined pre-specified primary and secondary outcome measures, including how and when they were assessed  | 2                   |
|                                  | 6b      | Any changes to trial outcomes after the trial commenced, with reasons   | N/A                 |
| Sample size                      | 7a      | How sample size was determined  | 2                   |
|                                  | 7b      | When applicable, explanation of any interim analyses and stopping guidelines  | N/A                 |
| <b>Randomisation:</b>            |         |   |                     |
| Sequence generation              | 8a      | Method used to generate the random allocation sequence  | 1-2                 |
|                                  | 8b      | Type of randomisation; details of any restriction (such as blocking and block size)   | 1-2                 |
| Allocation concealment mechanism | 9       | Mechanism used to implement the random allocation sequence (such as sequentially numbered containers), describing any steps taken to conceal the sequence until interventions were assigned | 1-2                 |
| Implementation                   | 10      | Who generated the random allocation sequence, who enrolled participants, and who assigned participants to interventions   | 2                   |

|  |     |   |  |
|--|-----|---|--|
| Blinding   | 11a | If done, who was blinded after assignment to interventions (for example, participants, care providers, those assessing outcomes) and how          | 2, 3   |
|  | 11b | If relevant, description of the similarity of interventions   | N/A  |
| Statistical methods                                  | 12a | Statistical methods used to compare groups for primary and secondary outcomes   | 3  |
|  | 12b | Methods for additional analyses, such as subgroup analyses and adjusted analyses  | N/A  |
| <b>Results</b>                                       |     |   |  |
| Participant flow (a diagram is strongly recommended) | 13a | For each group, the numbers of participants who were randomly assigned, received intended treatment, and were analysed for the primary outcome    | Figure 1   |
|  | 13b | For each group, losses and exclusions after randomisation, together with reasons  | Figure 1, 3  |
| Recruitment  | 14a | Dates defining the periods of recruitment and follow-up   | 2  |
|  | 14b | Why the trial ended or was stopped  | 2  |
| Baseline data  | 15  | A table showing baseline demographic and clinical characteristics for each group  | 2, 3, Table 1  |
| Numbers analysed                                     | 16  | For each group, number of participants (denominator) included in each analysis and whether the analysis was by original assigned groups           | Figure 1, 3  |
| Outcomes and estimation                              | 17a | For each primary and secondary outcome, results for each group, and the estimated effect size and its precision (such as 95% confidence interval) | 3-4<br>Calculated for ANCOVAs, but not mixed models. |
|  | 17b | For binary outcomes, presentation of both absolute and relative effect sizes is recommended   | N/A  |
| Ancillary analyses                                   | 18  | Results of any other analyses performed, including subgroup analyses and adjusted analyses, distinguishing pre-specified from exploratory         | N/A  |
| Harms  | 19  | All important harms or unintended effects in each group (for specific guidance see CONSORT for harms)   | 5  |
| <b>Discussion</b>                                    |     |   |  |
| Limitations  | 20  | Trial limitations, addressing sources of potential bias, imprecision, and, if relevant, multiplicity of analyses                                  | 6  |
| Generalisability                                     | 21  | Generalisability (external validity, applicability) of the trial findings   | 5-6  |
| Interpretation                                       | 22  | Interpretation consistent with results, balancing benefits and harms, and considering other relevant evidence                                     | 5-6  |
| <b>Other information</b>                             |     |   |  |
| Registration   | 23  | Registration number and name of trial registry  | 1  |
| Protocol   | 24  | Where the full trial protocol can be accessed, if available   | 7  |
| Funding  | 25  | Sources of funding and other support (such as supply of drugs), role of funders   | 2:<br>Interventions                                  |

\*We strongly recommend reading this statement in conjunction with the CONSORT 2010 Explanation and Elaboration for important clarifications on all the items. If relevant, we also recommend reading CONSORT extensions for cluster randomised trials, non-inferiority and equivalence trials, non-pharmacological treatments, herbal interventions, and pragmatic trials. Additional extensions are forthcoming; for those and for up to date references relevant to this checklist, see [www.consort-statement.org](http://www.consort-statement.org).

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## **Ketamine as the anaesthetic for electroconvulsive therapy: the KANECT randomised controlled trial**

Gordon Fernie, James Currie, Jennifer S. Perrin, Caroline A. Stewart, Virginica Anderson, Daniel M. Bennett, Steven Hay and Ian C. Reid

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